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Boundaries, Vermas and factorisation

Mathew Bullimore,^a Samuel Crew^b and Daniel Zhang^b

^a*Department of Mathematical Sciences, Durham University,
Durham, DH1 3LE, U.K.*

^b*Department of Applied Mathematics and Theoretical Physics, University of Cambridge,
Cambridge, CB3 0WA, U.K.*

E-mail: mathew.r.bullimore@durham.ac.uk, s.c.crew@damtp.cam.ac.uk,
d.zhang@damtp.cam.ac.uk

ABSTRACT: We revisit the factorisation of supersymmetric partition functions of 3d $\mathcal{N} = 4$ gauge theories. The building blocks are hemisphere partition functions of a class of UV $\mathcal{N} = (2, 2)$ boundary conditions that mimic the presence of isolated vacua at infinity in the presence of real mass and FI parameters. These building blocks can be unambiguously defined and computed using supersymmetric localisation. We show that certain limits of these hemisphere partition functions coincide with characters of lowest weight Verma modules over the quantised Higgs and Coulomb branch chiral rings. This leads to expressions for the superconformal index, twisted index and S^3 partition function in terms of such characters. On the way we uncover new connections between boundary 't Hooft anomalies, hemisphere partition functions and lowest weights of Verma modules.

KEYWORDS: Supersymmetric Gauge Theory, Anomalies in Field and String Theories, Supersymmetry and Duality, Solitons Monopoles and Instantons

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1 Introduction

Supersymmetric partition functions are useful tools to study interacting supersymmetric quantum field theories. In certain circumstances, these observables can be computed exactly using the method of supersymmetric localisation and this leads to a rich connection with geometric representation theory and enumerative geometry.

For supersymmetric gauge theories in three dimensions with at least $\mathcal{N} = 2$ supersymmetry, partition functions on many supersymmetric backgrounds involving a compact space \mathcal{M}_3 admit a factorization of the form

$$\mathcal{Z}_{\mathcal{M}_3} = \sum_{\alpha} H_{\alpha} \tilde{H}_{\alpha}, \quad (1.1)$$

where the sum is over a finite set of vacua $\{\alpha\}$ and H_{α} is a partition function associated to the geometry $S^1 \times \{\text{hemisphere}\}$ or a twisted product $S^1 \times_q D^2$ with a boundary condition determined by the vacuum α .

This factorisation of supersymmetric partition functions originated in computations of the S^3 partition function [1] and has also been checked in many examples for the superconformal index [2–4] and $S^1 \times S^2$ twisted index [5–7]. Factorisation also plays an important role in the 3d-3d correspondence [4, 8, 9]. It can be derived using Higgs branch localisation [10, 11] and from the gluing construction of [12, 13].

The individual building blocks H_{α} of factorisation have a number of different interpretations in both physics and mathematics. A systematic approach is holomorphic blocks [14], which are defined in the IR as partition functions of massive theories on a twisted product $S^1 \times_q D^2$. This provides an elegant prescription to construct the building blocks H_{α} as solutions to certain difference equations but suffers from some ambiguities in the determination of classical and 1-loop contributions.

In this paper, we revisit the factorisation of supersymmetric partition functions from a UV perspective for gauge theories with $\mathcal{N} = 4$ supersymmetry. We will define the building blocks H_{α} as the hemisphere partition functions of a distinguished set of boundary conditions $\{\mathcal{B}_{\alpha}\}$ preserving $\mathcal{N} = (2, 2)$ supersymmetry and labelled by isolated massive vacua α

$$H_{\alpha} = \mathcal{Z}_{\mathcal{B}_{\alpha}}. \quad (1.2)$$

These hemisphere partition functions can be computed exactly using supersymmetric localisation.

The boundary conditions \mathcal{B}_{α} are designed to mimic isolated massive vacua α at infinite distance in the presence of generic real mass and FI parameters, at least for computations amenable to supersymmetric localisation. This is illustrated schematically in figure 1. Boundary conditions of this type were first studied in two dimensions for Landau-Ginzburg models and massive sigma models in [15] and play an important part in 2d mirror symmetry. A systematic description in massive 2d theories was developed in [16, 17]. The importance of such boundary conditions in 3d $\mathcal{N} = 4$ theories was discussed in [18], which also gave an explicit UV construction in abelian gauge theories.

An important feature is that the set of boundary conditions $\{\mathcal{B}_{\alpha}\}$ depend on the real mass and FI parameters. More precisely, they depend on a choice of chambers $\mathfrak{C}_H, \mathfrak{C}_C$ in

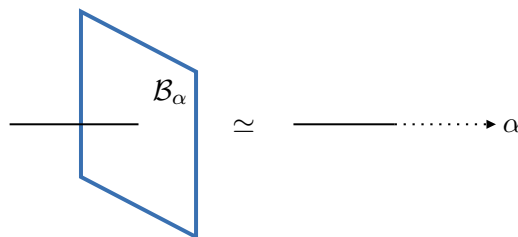


Figure 1. The distinguished set of boundary conditions \mathcal{B}_α mimic the presence of an isolated vacuum α at infinite distance, at least for computations amenable to supersymmetric localisation.

the spaces of real mass and FI parameters. The walls separating chambers correspond to mass and FI parameters where the theory no longer has isolated vacua. As a consequence, the factorisation jumps across these walls in such a way that the partition function $Z_{\mathcal{M}_3}$ is unchanged.

The hemisphere partition functions depend on four parameters,

$$H_\alpha = H_\alpha(q, t, x, \xi), \quad (1.3)$$

where q, t are fugacities dual to combinations of isometries and R-symmetries while x, ξ are fugacities dual to Higgs and Coulomb branch global symmetries. The hemisphere partition functions of the boundary conditions $\{\mathcal{B}_\alpha\}$ in a given chamber $\mathfrak{C}_H, \mathfrak{C}_C$ are characterised by their common analytic properties in the fugacities x, ξ . They differ from the holomorphic blocks of 3d $\mathcal{N} = 4$ gauge theories presented in [19–21] in the classical and 1-loop contributions.

The hemisphere partition function can be related via the state-operator correspondence to a half superconformal index counting local operators at the origin of $\mathbb{R}^2 \times \mathbb{R}_{\geq 0}$. The relation between these objects is more accurately

$$H_\alpha = e^{\phi_\alpha} \mathcal{I}_\alpha \quad (1.4)$$

where \mathcal{I}_α is the half superconformal index of the boundary condition \mathcal{B}_α and the pre-factor e^{ϕ_α} is determined by boundary 't Hooft anomalies for global and R-symmetries.

We focus on two limits of the hemisphere partition function with enhanced supersymmetry. They correspond to limits of the half superconformal index that count boundary operators transforming as the scalar components of boundary chiral and twisted chiral multiplets respectively. They are defined respectively by

$$\begin{aligned} \mathcal{X}_\alpha^H(x) &:= \lim_{t^{\frac{1}{2}} \rightarrow q^{-1/4}} H_\alpha(q, t, x, \xi) \\ \mathcal{X}_\alpha^C(\xi) &:= \lim_{t^{\frac{1}{2}} \rightarrow q^{1/4}} H_\alpha(q, t, x, \xi). \end{aligned} \quad (1.5)$$

Although our notation indicates that these limits depend only on a single parameter, they retain a small additional dependence on the remaining parameters due to boundary mixed 't Hooft anomalies contributing to the pre-factor e^{ϕ_α} .

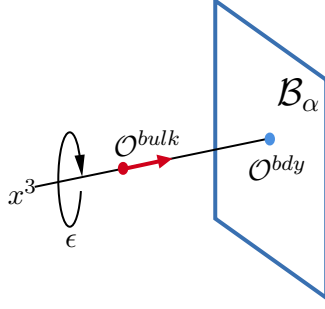


Figure 2. Bulk operators in either omega background acting on boundary operators, defining a module for \mathcal{A}_H or \mathcal{A}_C . The above represents $\mathcal{O}^{bulk}|\mathcal{O}^{bdy}\rangle$.

These boundary operators counted by this limit of the half superconformal index transform as modules for the quantised algebras \mathcal{A}_H , \mathcal{A}_C of functions on the Higgs and Coulomb branch respectively [18], as illustrated in figure 2. The quantisations are manifested by the Ω_A - and Ω_B -deformations respectively, studied in [22–27]. Boundary conditions compatible with real mass and FI parameters in chambers \mathfrak{C}_H , \mathfrak{C}_C generate modules that are lowest weight with respect to these chambers. In particular, boundary operators on the boundary conditions B_α generate lowest weight Verma modules $\mathcal{H}_{B_\alpha}^{(B)}$, $\mathcal{H}_{B_\alpha}^{(A)}$ for the algebras \mathcal{A}_H , \mathcal{A}_C respectively.

These limits of the hemisphere partition function are then expected to reproduce the characters of the modules formed by boundary chiral or twisted chiral operators. Indeed, we show that these limits reproduce traces over Verma modules

$$\begin{aligned}\mathcal{X}_\alpha^H(x) &= \text{Tr}_{\mathcal{H}_{B_\alpha}^{(B)}} x^{J_H}, \\ \mathcal{X}_\alpha^C(\xi) &= \text{Tr}_{\mathcal{H}_{B_\alpha}^{(C)}} \xi^{J_C},\end{aligned}\tag{1.6}$$

where J_H , J_C denote complex moment map operators generating the Higgs and Coulomb branch symmetries. It is important here to work with the hemisphere partition function rather than half superconformal index: boundary ’t Hooft anomalies encoded in e^{ϕ_α} are crucial to reproduce the correct lowest weights of the Verma modules. We check this proposal explicitly for abelian gauge theories, where the boundary conditions B_α admit a description as exceptional Dirichlet boundary conditions.

Returning to factorisation, we explore the implications of this result for partition functions $\mathcal{Z}_{\mathcal{M}_3}$ on compact spaces. Following from the general structure of factorisation, we show that certain limits of the superconformal index, $S^1 \times S^2$ twisted index and S^3 partition function preserving additional supercharges can be expressed in terms of the characters of lowest weight Verma modules. In particular,

$$\begin{aligned}\mathcal{Z}_{\text{SC}}^B &= \sum_\alpha \mathcal{X}_\alpha^H(x) \mathcal{X}_\alpha^H(x^{-1}), & \mathcal{Z}_{\text{SC}}^A &= \sum_\alpha \mathcal{X}_\alpha^C(\xi) \mathcal{X}_\alpha^C(\xi^{-1}), \\ \mathcal{Z}_{\text{tw}}^B &= \sum_\alpha \mathcal{X}_\alpha^H(x) \mathcal{X}_\alpha^H(x), & \mathcal{Z}_{\text{tw}}^A &= \sum_\alpha \mathcal{X}_\alpha^C(\xi) \mathcal{X}_\alpha^C(\xi) \\ \mathcal{Z}_{S^3} &= \sum_\alpha \hat{\mathcal{X}}_\alpha^H(x) \hat{\mathcal{X}}_\alpha^C(\xi),\end{aligned}\tag{1.7}$$

where A and B denote two different limits of the superconformal and twisted index preserving additional supercharges. In the factorisation of the S^3 partition function, the hatted characters involve an additional \mathbb{Z}_2 twist by the centre of the R-symmetry.¹ This reproduces the conjectured form of the S^3 partition function in [28] from the perspective of factorisation and extends it to the superconformal and twisted index. We illustrate these factorisations explicitly for supersymmetric QED with N hypermultiplets.

The paper is organised as follows. In section 2 we discuss $\mathcal{N} = (2, 2)$ boundary conditions and the associated half superconformal index and hemisphere partition function. In section 3 we consider boundary conditions which mimic an isolated massive vacua at infinity. In particular, we focus on abelian theories for which there exists an explicit UV construction of such boundary conditions as ‘exceptional Dirichlet’, corresponding to thimbles. Finally in section 4 we discuss holomorphic factorisation of closed three-manifold partition functions in terms of our hemisphere partition functions associated to vacua. This directly yields various ‘IR formulae’ for the superconformal index, twisted index and S_b^3 partition function in terms of characters of Verma modules.

Appendices on boundary conditions and localisation on $S^1 \times H^2$, the relation to the work [29], and the proof of our claims for general abelian theories are included.

2 Boundary conditions

We consider boundary conditions in 3d $\mathcal{N} = 4$ gauge theories that flow to superconformal fixed points in the infrared and acquire isolated massive vacua in the presence of generic mass and FI parameter deformations.

2.1 Preliminaries

To introduce our notation, suppose the 3d $\mathcal{N} = 4$ theory has global symmetry $G_H \times G_C$ with a maximal torus $T_H \times T_C$ and Cartan subalgebra $\mathfrak{t}_H \oplus \mathfrak{t}_C$. We can then introduce real mass parameters $m \in \mathfrak{t}_H$ and FI parameters $\eta \in \mathfrak{t}_C$. We require that the theory has isolated massive vacua v_α for generic values of these parameters that preserve the maximal torus $T_H \times T_C$.

The generic condition means the mass and FI parameters lie in chambers $m \in \mathfrak{C}_H \subset \mathfrak{t}_H$ and $\eta \in \mathfrak{C}_C \subset \mathfrak{t}_C$. These chambers are cut out by co-dimension-1 walls where the tension of domains walls between vacua tends to zero. This tension is controlled by a certain central charge in the supersymmetry algebra

$$Z_\alpha = \kappa_\alpha(m, \eta), \quad (2.1)$$

where

$$\kappa_\alpha : \mathfrak{t}_H \times \mathfrak{t}_C \rightarrow \mathbb{R} \quad (2.2)$$

is the effective $\mathcal{N} = 4$ supersymmetric mixed Chern-Simons coupling between T_H and T_C in the vacuum v_α . The quantisation of Chern-Simons terms means that this lifts to a bilinear map $\kappa_\alpha : \Gamma_H \times \Gamma_C \rightarrow \mathbb{Z}$, where Γ_H, Γ_C denote co-character lattices. The walls separating chambers are loci where $Z_\alpha = Z_\beta$ for pairs of vacua.

¹There are some additional phases that we omit in the introduction.

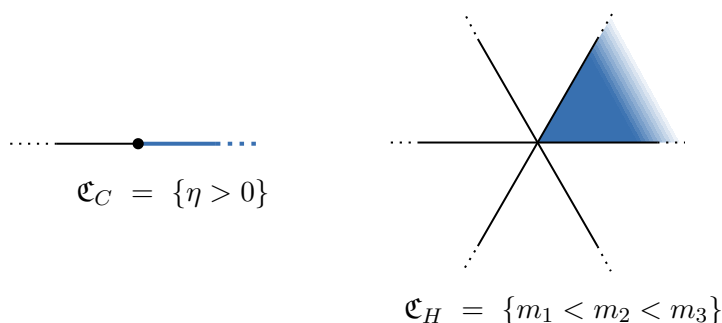


Figure 3. Chamber structure for supersymmetric QED with $N = 3$ fundamental hypermultiplets.

A running example will be supersymmetric QED with N fundamental hypermultiplets. In this case, we have $\mathfrak{t}_H = \mathbb{R}^{N-1}$ parametrised by real masses (m_1, \dots, m_N) with $\sum_j m_j = 0$ and $\mathfrak{t}_C = \mathbb{R}$ parametrised by a real FI parameter η . For generic values of these parameters, there are N isolated massive vacua v_i with central charge

$$Z_i = m_i \eta. \quad (2.3)$$

The chambers are cut out by loci where $t = 0$ and $m_i = m_j$ for $i \neq j$. In section 3, we choose chambers $\mathfrak{C}_H = \{m_1 < m_2 < \dots < m_N\}$ and $\mathfrak{C}_C = \{\eta > 0\}$. An example of this chamber structure is illustrated in figure 3.

2.2 $\mathcal{N} = (2, 2)$ boundary conditions

We consider boundary conditions preserving 2d $\mathcal{N} = (2, 2)$ superconformal symmetry. The boundary conditions support a global symmetry containing a subgroup of the bulk global symmetry $G_H \times G_C$ and any additional symmetries arising from boundary degrees of freedom. In this paper, we focus on boundary conditions preserving at least a maximal torus $T_H \times T_C$ of the bulk theory.

For many interesting boundary conditions, the boundary R-symmetry $U(1)_V \times U(1)_A$ is identified with a maximal torus $U(1)_H \times U(1)_C$ of the bulk R-symmetry. However, it can also happen that $U(1)_H \times U(1)_C$ is spontaneously broken at the boundary but a linear combination involving boundary flavour symmetries is preserved, which we again denote by $U(1)_V \times U(1)_A$. The boundary conditions introduced in section 3 will be of the latter type.

The boundary global and R-symmetries are subject to boundary mixed ‘t Hooft anomalies. The possible boundary anomalies are as follows:

- A mixed anomaly between $U(1)_V$ and $U(1)_A$ with coefficient \tilde{k} .
- A mixed anomaly between T_H and $U(1)_A$ with coefficient $k_A : \Gamma_H \rightarrow \mathbb{Z}$.
- A mixed anomaly between T_C and $U(1)_V$ with coefficient $k_V : \Gamma_C \rightarrow \mathbb{Z}$.
- A mixed anomaly between T_H and T_C with coefficient $k : \Gamma_H \times \Gamma_C \rightarrow \mathbb{Z}$.

The last item is closely related to the bulk supersymmetric mixed Chern-Simons coupling and the boundary conditions introduced in section 3 will have exactly $k = \kappa_\alpha$. More broadly, boundary 't Hooft anomalies will play an important role throughout.

Let us briefly consider boundary conditions for a free hypermultiplet. A hypermultiplet contains two complex scalar fields X, Y such that (X, Y^\dagger) transforms as a doublet of $SU(2)_H$ R-symmetry while (X, Y) transform as a doublet of $G_H = SU(2)$. The basic boundary conditions are

$$\begin{aligned} \mathcal{B}_X : \quad & \partial_\perp X|_\partial = 0 & Y|_\partial = 0, \\ \mathcal{B}_Y : \quad & \partial_\perp Y|_\partial = 0 & X|_\partial = 0, \end{aligned} \quad (2.4)$$

together with appropriate boundary conditions for the fermions. They break the global symmetry to $T_H = U(1)$ with a boundary mixed 't Hooft anomaly $k_A = +1$, $k_A = -1$ for $\mathcal{B}_X, \mathcal{B}_Y$. This is normalised such that the contribution from a boundary $\mathcal{N} = (2, 2)$ chiral multiplet of $U(1)$ charge $+1$ to the mixed anomaly is 2.

2.3 Half superconformal index

The half superconformal index counts local operators supported on an $\mathcal{N} = (2, 2)$ boundary condition. For concreteness, we work on $\mathbb{R}^2 \times \mathbb{R}_{\geq 0}$ with coordinates $\{x^1, x^2, x^3\}$ where $x^3 \geq 0$. Then a superconformal $\mathcal{N} = (2, 2)$ boundary condition \mathcal{B} preserves a subalgebra of $\mathfrak{osp}(4|4, \mathbb{R})$ generated by the four supercharges $Q_+^{1\bar{1}}, Q_-^{1\bar{2}}, Q_-^{2\bar{1}}, Q_+^{2\bar{2}}$ and their conjugates in radial quantisation $S_{1\bar{1}}^+, S_{1\bar{2}}^-, S_{2\bar{1}}^-, S_{2\bar{2}}^+$.

We define the half superconformal index by

$$\mathcal{I}_{\mathcal{B}} = \text{Tr}_{\mathcal{H}_{\mathcal{B}}} (-1)^F q^{J + \frac{R_V + R_A}{4}} t^{\frac{R_V - R_A}{2}} x^{F_H} \xi^{F_C}, \quad (2.5)$$

where J is the generator of rotations in the $x^{1,2}$ -plane, R_V, R_A are the generators of the boundary R-symmetry $U(1)_V \times U(1)_A$ and F_H, F_C denotes the Cartan generator of the boundary flavour symmetry $T_H \times T_C$. The fermion number is chosen to be $(-1)^F = (-1)^{2J}$. Finally, $\mathcal{H}_{\mathcal{B}}$ denotes the space of states in radial quantisation annihilated by the pair of conjugate supercharges $Q_+^{1\bar{1}}$ and $S_{1\bar{1}}^+$ or equivalently their anti-commutator

$$\{Q_+^{1\bar{1}}, S_{1\bar{1}}^+\} = D - J - \frac{R_V + R_A}{2}. \quad (2.6)$$

Unitarity bounds of the four supercharges preserved by the boundary condition imply that operators contributing to the index satisfy the inequality

$$J + \frac{1}{4}(R_V + R_A) \geq 0, \quad (2.7)$$

which is saturated only by the unit operator. The half superconformal index is therefore a formal Taylor series in $q^{1/4}$ starting with 1, whose convergence requires $|q| < 1$. These half indices can be computed as in [29] and can be interpreted as a character of the boundary chiral algebra [30].

Here we have assumed that $U(1)_V \times U(1)_A$ is identified with a maximal torus of the bulk R-symmetry. If there is mixing with boundary global symmetries then unitarity

bounds are modified. In such cases, the half superconformal index may not start with 1 and convergence may require additional constraints on the flavour fugacities. Examples of this phenomenon are discussed in section 3.

For the basic hypermultiplet boundary conditions (2.4), the half superconformal index is given by

$$\begin{aligned}\mathcal{I}_{\mathcal{B}_X} &= \frac{(q^{\frac{3}{4}} t^{-\frac{1}{2}} x; q)_{\infty}}{(q^{\frac{1}{4}} t^{\frac{1}{2}} x; q)_{\infty}} = 1 + q^{\frac{1}{4}} t^{\frac{1}{2}} x + \dots, \\ \mathcal{I}_{\mathcal{B}_Y} &= \frac{(q^{\frac{3}{4}} t^{-\frac{1}{2}} x^{-1}; q)_{\infty}}{(q^{\frac{1}{4}} t^{\frac{1}{2}} x^{-1}; q)_{\infty}} = 1 + q^{\frac{1}{4}} t^{\frac{1}{2}} x^{-1} + \dots.\end{aligned}\tag{2.8}$$

Note that the leading contributions to the index beyond the unit operator are the boundary operators $X|_{\partial}$, $Y|_{\partial}$ supported on \mathcal{B}_X , \mathcal{B}_Y .

We are primarily interested in two limits $t^{\frac{1}{2}} \rightarrow q^{\pm\frac{1}{4}}$, where the remaining combinations of generators commute with additional supercharges. These limits require additional constraints on the flavour fugacities to maintain convergence, which is related to the response of boundary conditions to turning on bulk real mass and FI parameters.

2.3.1 B-limit

The B -index is defined by

$$\mathcal{I}_{\mathcal{B}}^{(B)} := \lim_{t^{\frac{1}{2}} \rightarrow q^{-\frac{1}{4}}} \mathcal{I}_{\mathcal{B}} = \text{Tr}_{\mathcal{H}_{\mathcal{B}}^{(B)}} x^{F_H}.\tag{2.9}$$

In the limit $t^{\frac{1}{2}} \rightarrow q^{-\frac{1}{4}}$, the generator $J + \frac{R_A}{2}$ conjugate to q commutes with an additional supercharge $Q_-^{1\dot{2}}$. The index therefore receives contributions only from operators in the subspace $\mathcal{H}_{\mathcal{B}}^{(B)} \subset \mathcal{H}_{\mathcal{B}}$ annihilated by both supercharges $Q_+^{1\dot{1}}$, $Q_-^{1\dot{2}}$ and their conjugates in radial quantisation, or equivalently by the anti-commutators

$$\begin{aligned}\{Q_+^{1\dot{1}}, S_{1\dot{1}}^+\} &= D - J - \frac{R_V}{2} - \frac{R_A}{2}, \\ \{Q_-^{1\dot{2}}, S_{1\dot{2}}^-\} &= D + J - \frac{R_V}{2} + \frac{R_A}{2}.\end{aligned}\tag{2.10}$$

Such operators transform as the scalar components of $\mathcal{N} = (2, 2)$ chiral multiplets and include the images of bulk Higgs branch operators under the bulk to boundary map. Their quantum numbers obey

$$D = \frac{R_V}{2}, \quad J + \frac{R_A}{2} = 0\tag{2.11}$$

and therefore the index is independent of q . They are uncharged under T_C so it is also independent of ξ . Finally, we can remove the $(-1)^F$ as such operators are bosons.

To maintain convergence, there must clearly be a constraint on x . We can regard this parameter as an element of the complexified maximal torus $T_H \otimes_{\mathbb{R}} \mathbb{C}$. If a boundary condition preserves $\mathcal{N} = (2, 2)$ supersymmetry in the presence of a real mass m , boundary operators contributing to the B -limit of the half superconformal index obey

$$\langle m, F_H \rangle \geq 0.\tag{2.12}$$

The index will therefore converge if $-\log|x|$ lies in the same chamber as m . In summary:

- If a boundary condition is compatible with a real mass $m \in \mathfrak{C}_H$, the B -limit of the half superconformal index converges for $-\log|x| \in \mathfrak{C}_H$.

We illustrate this statement for a hypermultiplet. The B -limit of the half superconformal indices of the basic boundary conditions are

$$\begin{aligned}\mathcal{I}_{\mathcal{B}_X}^{(B)} &= 1 + x + x^2 + \cdots = \frac{1}{1-x}, \\ \mathcal{I}_{\mathcal{B}_Y}^{(B)} &= 1 + x^{-1} + x^{-2} + \cdots = \frac{1}{1-x^{-1}}.\end{aligned}\tag{2.13}$$

These expansions arise from monomials in the boundary Higgs branch operators $X|_\partial$ and $Y|_\partial$ respectively. The index of \mathcal{B}_X converges for $|x| < 1$, while that of \mathcal{B}_Y converges for $|x| > 1$. This is consistent with the fact that the \mathcal{B}_X is compatible with real mass parameter $m > 0$, while \mathcal{B}_Y is compatible with $m < 0$ [18].

In section 4.4, we will also encounter the closely related limit $t^{\frac{1}{2}} \rightarrow e^{-\pi i} q^{-\frac{1}{4}}$. Almost identical arguments hold except the differing sign leads to an additional factor of $(-1)^{R_V}$ in equation (2.9), such that the bottom components of chiral multiplets are counted with an additional sign depending on their vector R-charge.

2.3.2 A-limit

The A -index is similarly defined by

$$\mathcal{I}_{\mathcal{B}}^{(A)} := \lim_{t^{\frac{1}{2}} \rightarrow q^{\frac{1}{4}}} \mathcal{I} = \text{Tr}_{\mathcal{H}_{\mathcal{B}}^{(A)}} \xi^{F_C}.\tag{2.14}$$

In the limit $t^{\frac{1}{2}} \rightarrow q^{\frac{1}{4}}$, the generator $J + \frac{R_V}{2}$ conjugate to q now commutes with an additional supercharge Q_-^{21} . The index therefore receives contributions only from operators in the subspace $\mathcal{H}_{\mathcal{B}}^{(A)} \subset \mathcal{H}_{\mathcal{B}}$ annihilated by both supercharges Q_+^{11} , Q_-^{21} and their conjugates in radial quantisation, or equivalently by the anti-commutators

$$\begin{aligned}\{Q_+^{11}, S_{11}^+\} &= D - J - \frac{R_V}{2} - \frac{R_A}{2}, \\ \{Q_-^{21}, S_{21}^-\} &= D + J + \frac{R_V}{2} - \frac{R_A}{2}.\end{aligned}\tag{2.15}$$

Such operators transform as the scalar component of $\mathcal{N} = (2, 2)$ twisted chiral multiplets and include the images of bulk Coulomb branch operators under the bulk to boundary map. The quantum numbers of such operators obey

$$D = \frac{R_A}{2}, \quad J + \frac{R_V}{2} = 0\tag{2.16}$$

and therefore the index is independent of q . They are not charged under T_H so it is also independent of x . Finally, we can again remove the $(-1)^F$ as such operators are bosons.

To maintain convergence, we now need a constraint on ξ . We can regard this parameter as an element of the complexified maximal torus $T_C \otimes_{\mathbb{R}} \mathbb{C}$. If a boundary condition preserves

$\mathcal{N} = (2, 2)$ supersymmetry in the presence of a real FI parameter η , boundary operators contributing to the A -limit of the half superconformal index obey

$$\langle \eta, F_C \rangle \geq 0. \quad (2.17)$$

The index will therefore converge if $-\log |\xi|$ lies in the same chamber as η . In summary:

- If a boundary condition is compatible with a real FI parameter $\eta \in \mathfrak{C}_C$, the A -limit of the half superconformal index converges for $-\log |\xi| \in \mathfrak{C}_C$.

For hypermultiplet boundary conditions,

$$\mathcal{I}_{\mathcal{B}_X}^{(A)} = \mathcal{I}_{\mathcal{B}_Y}^{(A)} = 1, \quad (2.18)$$

which simply reflects the absence of bulk Coulomb branch operators that could supply twisted chiral operators at the boundary. This index is independent of ξ so there is no issue with convergence in this case.

In section 4.4, we will also encounter the closely related limit $t^{\frac{1}{2}} \rightarrow e^{\pi i} q^{\frac{1}{4}}$. Almost identical arguments hold except the differing sign leads to an additional factor of $(-1)^{R_A}$ in equation (2.14), such that the bottom components of twisted chiral multiplets are counted with an additional sign depending on their axial R-charge.

2.4 Hemisphere partition function

The half superconformal index can be computed from a UV description by invoking the state-operator correspondence to relate it to a hemisphere partition function on $S^1 \times H^2$ and applying supersymmetric localisation. This essentially builds on similar computations for the bulk superconformal index, using either Coulomb branch or Higgs branch localisation. We give the details of this computation, and the form of boundary conditions on this geometry in appendix A.

From one perspective, the $S^1 \times H^2$ background is a product

$$ds^2 = d\tau^2 + r^2(d\theta^2 + \sin^2 \theta d\phi^2), \quad (2.19)$$

where $\tau \sim \tau + \beta r$ and $0 \leq \theta \leq \pi/2$ and the boundary condition \mathcal{B} supported at $\theta = \pi/2$. The boundary conditions around S^1 are then twisted according to the fugacities in the superconformal index (2.5). Another perspective is to replace the metric by an S^1 -fibration over H^2 together with an appropriate background connection for the boundary global and R-symmetries around S^1 . The fugacity q is set to $e^{-2\beta}$, see appendix A.

The result of supersymmetric localisation leads to the computation of 1-loop determinants that require regularisation in a way compatible with the supersymmetry preserved. A consequence is that the hemisphere partition function $\mathcal{Z}_{\mathcal{B}}$ of an $\mathcal{N} = (2, 2)$ boundary condition is related to the superconformal index by a multiplicative factor,

$$\mathcal{Z}_{\mathcal{B}} = e^{\phi_{\mathcal{B}}} \mathcal{I}_{\mathcal{B}}, \quad (2.20)$$

where $\phi_{\mathcal{B}}$ is determined by the boundary mixed 't Hooft anomalies. In fact, this is true for $\mathcal{N} = 2$ theories with $(0, 2)$ boundary conditions, as we show in appendix A. Specialising to

the $\mathcal{N} = 4$ case results in the only possible anomalies being those enumerated in section 2.2, with integer valued coefficients. An analysis of the 1-loop determinants then shows that

$$\phi_{\mathcal{B}} = \frac{1}{2 \log q} \sum_{ij} \log y_i \cdot k_{ij} \cdot \log y_j, \quad (2.21)$$

where the indices i, j are summed over the Cartan generators of all boundary global and R -symmetries, y_i, y_j denote the corresponding fugacities and the numbers k_{ij} are the corresponding boundary mixed 't Hooft anomaly coefficients. Using our notation for the possible anomaly coefficients from section 2.2 this becomes

$$\begin{aligned} \phi_{\mathcal{B}} = & \frac{1}{\log q} \left[\log \left(q^{\frac{1}{4}} t^{\frac{1}{2}} \right) \cdot \tilde{k} \cdot \log \left(q^{\frac{1}{4}} t^{-\frac{1}{2}} \right) \right] \\ & + \frac{1}{\log q} \left[\log \left(q^{\frac{1}{4}} t^{-\frac{1}{2}} \right) \cdot k_A \cdot \log x \right] \\ & + \frac{1}{\log q} \left[\log \xi \cdot k_V \cdot \log \left(q^{\frac{1}{4}} t^{\frac{1}{2}} \right) \right] \\ & + \frac{1}{\log q} \left[\log \xi \cdot k \cdot \log x \right], \end{aligned} \quad (2.22)$$

where from our definition (2.5) of the half superconformal index the fugacities associated to $U(1)_V$ and $U(1)_A$ are $q^{\frac{1}{4}} t^{\frac{1}{2}}$ and $q^{\frac{1}{4}} t^{-\frac{1}{2}}$ respectively.

Let us illustrate this result for the basic boundary conditions (2.4) for a hypermultiplet. Combining the results for Neumann and Dirichlet boundary conditions for 3d $\mathcal{N} = 2$ chiral multiplets found in [29] we find

$$\begin{aligned} \phi_{\mathcal{B}_X} &= + \frac{1}{\log q} \log x \log \left(q^{\frac{1}{4}} t^{-\frac{1}{2}} \right), \\ \phi_{\mathcal{B}_Y} &= - \frac{1}{\log q} \log x \log \left(q^{\frac{1}{4}} t^{-\frac{1}{2}} \right), \end{aligned} \quad (2.23)$$

which reproduces the boundary mixed 't Hooft anomaly between the $U(1)$ global symmetry and $U(1)_A$ axial R -symmetry with coefficients $k_A = +1, -1$ for $\mathcal{B}_X, \mathcal{B}_Y$.

2.4.1 B-limit

In the limit $t^{\frac{1}{2}} \rightarrow q^{-\frac{1}{4}}$, the fugacity conjugate to $U(1)_A$ becomes $q^{\frac{1}{2}}$ while the fugacity conjugate to $U(1)_V$ becomes 1. The overall factor relating the hemisphere partition function and the half superconformal index therefore no longer detects boundary 't Hooft anomalies involving $U(1)_V$. Explicitly, it becomes

$$\phi_{\mathcal{B}}^{(B)} = \frac{1}{2} k_A \cdot \log x + \frac{\log x \cdot k \cdot \log \xi}{\log q}, \quad (2.24)$$

and exponentiating

$$e^{\phi_{\mathcal{B}}^{(B)}} = x^{\frac{k_A}{2} + k \cdot \frac{\log \xi}{\log q}}. \quad (2.25)$$

Note that although the B -limit of the half superconformal index is independent of ξ , the hemisphere partition function may retain some dependence on $\log \xi$ through the boundary

mixed anomaly between T_C and T_H . We denote

$$\lim_{t^{\frac{1}{2}} \rightarrow q^{-\frac{1}{4}}} \mathcal{Z}_{\mathcal{B}} := \mathcal{X}_{\mathcal{B}}^H. \quad (2.26)$$

For the hypermultiplet, this limit is

$$\mathcal{X}_{\mathcal{B}_X}^H = \frac{x^{1/2}}{1-x}, \quad \mathcal{X}_{\mathcal{B}_Y}^H = \frac{x^{-1/2}}{1-x^{-1}}, \quad (2.27)$$

which encodes the anomaly coefficients $k_A = 1$ for \mathcal{B}_X and $k_A = -1$ for \mathcal{B}_Y .

2.4.2 A-index

In the limit $t^{\frac{1}{2}} \rightarrow q^{\frac{1}{4}}$, the fugacity conjugate to $U(1)_V$ becomes $q^{\frac{1}{2}}$ while the fugacity conjugate to $U(1)_A$ becomes 1. The overall factor relating the hemisphere partition function and the half superconformal index therefore no longer detects boundary 't Hooft anomalies involving $U(1)_A$. Explicitly, it becomes

$$\phi_{\mathcal{B}}^{(A)} = \frac{1}{2} k_V \cdot \log \xi + \frac{\log \xi \cdot k \cdot \log x}{\log q}, \quad (2.28)$$

and exponentiating

$$e^{\phi_{\mathcal{B}}^{(A)}} = \xi^{\frac{k_V}{2} + k \cdot \frac{\log x}{\log q}}. \quad (2.29)$$

Note that although the A -limit of the half superconformal index is independent of x , the hemisphere partition function may retain some dependence on $\log x$ through the boundary mixed anomaly between T_C and T_H . We denote

$$\lim_{t^{\frac{1}{2}} \rightarrow q^{\frac{1}{4}}} \mathcal{Z}_{\mathcal{B}} := \mathcal{X}_{\mathcal{B}}^C. \quad (2.30)$$

For the hypermultiplet,

$$\mathcal{X}_{\mathcal{B}_X}^C = 1, \quad \mathcal{X}_{\mathcal{B}_Y}^C = 1, \quad (2.31)$$

as the only boundary mixed 't Hooft anomalies involve $U(1)_A$.

2.5 Characters of modules

Let us return temporarily to the half superconformal index on $\mathbb{R}^2 \times \mathbb{R}_{\geq 0}$. We have considered two limits of the half superconformal index,

$$\begin{aligned} \mathcal{I}_{\mathcal{B}}^{(A)} &= \text{Tr}_{\mathcal{H}_{\mathcal{B}}^{(A)}} \xi^{F_C}, \\ \mathcal{I}_{\mathcal{B}}^{(B)} &= \text{Tr}_{\mathcal{H}_{\mathcal{B}}^{(B)}} x^{F_H}, \end{aligned} \quad (2.32)$$

where $\mathcal{H}_{\mathcal{B}}^{(B)}$ and $\mathcal{H}_{\mathcal{B}}^{(A)}$ denote respectively boundary operators that are the scalar components of $\mathcal{N} = (2, 2)$ chiral and twisted chiral multiplets.

These setups admit deformations that can be described either as an omega background [22–25] or passing to a ‘ $Q + S$ ’ type construction as in [26, 27, 31–33]. For concreteness, we will focus here on the omega background perspective.

There are two possible omega backgrounds Ω_A, Ω_B in the $x^{1,2}$ -plane. These deformations break superconformal symmetry but the boundary operators at the origin of the $x^{1,2}$ -plane remain the same. However, bulk local operators are now constrained to the x^3 -axis and generate non-commutative algebras $\mathcal{A}_H, \mathcal{A}_C$ that act on boundary operators. In this way, $\mathcal{H}_B^{(A)}, \mathcal{H}_B^{(B)}$ become modules for $\mathcal{A}_H, \mathcal{A}_C$, as described in [18]. This is illustrated in figure 2.

The algebras $\mathcal{A}_H, \mathcal{A}_C$ are equivariant deformation quantisations of the Poisson algebras of functions on the Higgs and Coulomb branch respectively. They are determined by periods $\eta_{\mathbb{C}} \in \mathfrak{t}_C \otimes \mathbb{C}$ and $m_{\mathbb{C}} \in \mathfrak{t}_H \otimes \mathbb{C}$, which are complex mass and FI parameters. The algebras include operators J_H, J_C , whose commutators measure T_H, T_C charge. For example, in \mathcal{A}_H we have

$$[J_H, \mathcal{O}_\gamma] = \gamma \mathcal{O}_\gamma \quad (2.33)$$

where \mathcal{O}_γ is a Higgs branch operator of charge $\gamma \in \Gamma_H^\vee$. This provides a grading of the non-commutative algebras $\mathcal{A}_H, \mathcal{A}_C$ by the character lattices $\Gamma_H^\vee, \Gamma_C^\vee$. Similarly, there is a weight decomposition of any module generated by a boundary condition preserving global symmetry T_H, T_C .

Now consider the operators

$$J_m = m \cdot J_H, \quad J_\eta = \eta \cdot J_C, \quad (2.34)$$

where m and η are the real mass and FI parameters. An observation of [18] is that boundary conditions compatible with real parameters m, η determine modules that are lowest weight for the operators J_m, J_η , meaning their weights are bounded below.

This property only depends on the chamber: if a module is lowest weight for $m \in \mathfrak{C}_H$, it is lowest weight for any other $m' \in \mathfrak{C}_H$ in the same chamber. Therefore, having fixed $\mathfrak{C}_H, \mathfrak{C}_C$, we simply refer to modules associated to compatible boundary conditions as lowest weight.

The modules $\mathcal{H}_B^{(A)}, \mathcal{H}_B^{(B)}$ will therefore have lowest weight states that we denote by $|\mathcal{B}\rangle^{(A)}, |\mathcal{B}\rangle^{(B)}$. If we were to add constants to the operators J_H, J_C such that the lowest weight states have charge 0, this would correspond to the charge measured by the generators F_H, F_C appearing in the definition of the half superconformal index. The condition of lowest weight is then equivalent to the inequalities (2.12) and (2.17) and the characters of these modules coincide with the half superconformal indices in (2.32).

However, as we show for a general abelian theory in appendix B, the charges of the lowest weight states measured by the operators J_H, J_C are determined by boundary mixed 't Hooft anomalies:

$$\begin{aligned} J_H |\mathcal{B}\rangle^{(B)} &= \left(\frac{1}{2} k_A + \frac{1}{\epsilon} \eta_{\mathbb{C}} \cdot k \right) |\mathcal{B}\rangle^{(B)}, \\ J_C |\mathcal{B}\rangle^{(A)} &= \left(\frac{1}{2} k_V + \frac{1}{\epsilon} m_{\mathbb{C}} \cdot k \right) |\mathcal{B}\rangle^{(A)}. \end{aligned} \quad (2.35)$$

Let us now define the equivariant characters of these modules by

$$\begin{aligned} \mathcal{X}_B^H &= \text{Tr}_{\mathcal{H}_B^{(B)}} x^{J_H}, \\ \mathcal{X}_B^C &= \text{Tr}_{\mathcal{H}_B^{(A)}} \xi^{J_C}. \end{aligned} \quad (2.36)$$

Then the lowest weight states contribute the following multiplicative factors

$$x^{\frac{1}{2}k_A + \frac{1}{\epsilon}\eta_{\mathbb{C}} \cdot k}, \quad \xi^{\frac{1}{2}k_V + \frac{1}{\epsilon}m_{\mathbb{C}} \cdot k} \quad (2.37)$$

to these equivariant characters.

If we now compare to the multiplicative factor relating the hemisphere partition function to the half superconformal index in (2.25) and (2.29), we can identify the hemisphere partition function with the character

$$\begin{aligned} \lim_{t^{\frac{1}{2}} \rightarrow q^{-\frac{1}{4}}} \mathcal{Z}_{\mathcal{B}} &= \mathcal{X}_{\mathcal{B}}^H, \\ \lim_{t^{\frac{1}{2}} \rightarrow q^{\frac{1}{4}}} \mathcal{Z}_{\mathcal{B}} &= \mathcal{X}_{\mathcal{B}}^C. \end{aligned} \quad (2.38)$$

under the following identification of variables

$$\epsilon \leftrightarrow -\log q, \quad m_{\mathbb{C}} \leftrightarrow -\log x, \quad \eta_{\mathbb{C}} \leftrightarrow -\log \xi. \quad (2.39)$$

It would be desirable to give a more direct derivation of this correspondence by carefully understanding the map from the operator counting picture to the $S^1 \times H^2$ background used for supersymmetric localisation. Nevertheless, this relation will play an important role in the remainder of this paper.

2.5.1 Example

We briefly consider the Ω_B deformation of the free hypermultiplet. The quantised algebra \mathcal{A}_H is generated by the complex scalar fields \hat{X} , \hat{Y} subject to $[\hat{Y}, \hat{X}] = \epsilon$. The basic boundary conditions correspond to the modules

$$\begin{aligned} \mathcal{H}_{\mathcal{B}_X}^{(B)} : \quad |n\rangle &= \hat{X}^n |0\rangle \quad n \geq 0, \\ \mathcal{H}_{\mathcal{B}_Y}^{(B)} : \quad |n\rangle &= \hat{Y}^n |0\rangle \quad n \geq 0, \end{aligned} \quad (2.40)$$

where for convenience we write $|0\rangle := |\mathcal{B}_X\rangle^{(B)}$ or $|\mathcal{B}_Y\rangle^{(B)}$, which obeys $\hat{Y}|0\rangle = 0$ and $\hat{X}|0\rangle = 0$ respectively.

The global symmetry $T_H = \text{U}(1)$ preserved by both boundary conditions is generated by the complex moment map

$$J_H := \frac{1}{\epsilon} \hat{X} \hat{Y} + \frac{1}{2} = \frac{1}{\epsilon} \hat{Y} \hat{X} - \frac{1}{2}. \quad (2.41)$$

such that

$$\begin{aligned} \mathcal{H}_{\mathcal{B}_X}^{(B)} : \quad J_H |n\rangle &= + \left(n + \frac{1}{2}\right) |n\rangle, \\ \mathcal{H}_{\mathcal{B}_Y}^{(B)} : \quad J_H |n\rangle &= - \left(n + \frac{1}{2}\right) |n\rangle. \end{aligned} \quad (2.42)$$

Note that the normal ordering of the moment map reproduces the expected shifts due to the boundary mixed anomaly $k_A = +1, -1$. We also see explicitly that \mathcal{B}_X is compatible with $m > 0$ and lowest weight in the chamber $\mathfrak{C}_H = \{m > 0\}$, while \mathcal{B}_Y is compatible with $m < 0$ and lowest weight in opposite chamber $\mathfrak{C}_H = \{m < 0\}$.

The characters of these modules are

$$\begin{aligned}\chi_{\mathcal{B}_X}^H &= x^{1/2} \sum_{n \geq 0} x^n = \frac{x^{1/2}}{1-x}, \\ \chi_{\mathcal{B}_Y}^H &= x^{-1/2} \sum_{n \geq 0} x^{-n} = \frac{x^{-1/2}}{1-x^{-1}},\end{aligned}\tag{2.43}$$

which converge to the function on the right when $|x| < 1$ for \mathcal{B}_X and $|x| > 1$ for \mathcal{B}_Y . This is in perfect agreement with the hemisphere partition functions (2.27).

3 Thimble boundary conditions

We now focus on a distinguished class of $\mathcal{N} = (2, 2)$ boundary conditions that mimic the presence of an isolated massive vacuum at infinity, at least for the purpose of computations preserving supersymmetry. This idea is illustrated figure 1.

Boundary conditions of this type were first studied for 2d $\mathcal{N} = (2, 2)$ Landau-Ginzburg models and massive sigma models in [15] and play an important part in 2d mirror symmetry. A systematic description in massive 2d $\mathcal{N} = (2, 2)$ theories has also been developed in [16, 17]. They were discussed for massive 3d $\mathcal{N} = 4$ theories in [18] and constructed explicitly for abelian gauge theories.

3.1 General idea

First recall our restriction to 3d $\mathcal{N} = 4$ theories that have isolated massive vacua v_α in the presence of generic mass and FI parameter deformations. A choice of generic real mass and FI parameters determines a pair of chambers $m \in \mathfrak{C}_H \subset \mathfrak{t}_H$ and $\eta \in \mathfrak{C}_C \subset \mathfrak{t}_C$ in which the theory remains massive.

The aim is to construct a collection of UV boundary conditions $\{\mathcal{B}_\alpha\}$ in 1-1 correspondence with isolated massive vacua v_α that are simultaneously compatible with mass and FI parameters in the chambers $\mathfrak{C}_H, \mathfrak{C}_C$ and mimic the presence of an isolated massive vacuum v_α at infinity. The latter feature is in the sense that, for performing BPS computations, placing the theory on the half-space $x^3 \geq 0$ with boundary condition \mathcal{B}_α at $x^3 = 0$ is equivalent to placing the theory on the whole space $x^3 \in \mathbb{R}$ with vacuum α at $x^3 \rightarrow -\infty$. The collection $\{\mathcal{B}_\alpha\}$ depend on the chambers and may jump across walls in the space of mass and FI parameters.

Before turning to an example, we mention one generic feature of such boundary conditions. Since the boundary condition \mathcal{B}_α is equivalent to the vacuum v_α at infinity, the mixed 't Hooft anomaly between T_H and T_C should coincide with the effective supersymmetric Chern-Simons coupling in the vacuum v_α , namely

$$k(\mathcal{B}_\alpha) = \kappa_\alpha, \tag{3.1}$$

where $\kappa_\alpha : \Gamma_H \times \Gamma_C \rightarrow \mathbb{Z}$ is the bilinear map introduced in (2.2). This will indeed be the case in our example.

3.2 Abelian theories

In abelian gauge theories, there is a proposal for constructing the collections $\{\mathcal{B}_\alpha\}$ using ‘exceptional Dirichlet’ boundary conditions [18]. This involves a Dirichlet boundary condition for the $\mathcal{N} = 4$ vectormultiplet and a standard \mathcal{B}_X or \mathcal{B}_Y boundary condition for each hypermultiplet, deformed by non-vanishing expectation values such that a maximal torus $T_H \times T_C$ of the bulk global symmetry is preserved. The choice of the hypermultiplet boundary conditions is such that, in the absence of complex masses or FI parameters, the images of \mathcal{B}_α on the Higgs and Coulomb branch are supported on the attracting Lagrangians $\mathcal{M}_H^\geq[\alpha]$, $\mathcal{M}_C^\geq[\alpha]$ associated to each vacuum α , with respect to gradient flow equations for the real potentials

$$h_{m,\mathbb{R}} = m \cdot \mu_{H,\mathbb{R}} \quad (\text{Higgs Branch}), \quad h_{\eta,\mathbb{R}} = -\eta \cdot \mu_{C,\mathbb{R}} \quad (\text{Coulomb Branch}). \quad (3.2)$$

Here the vacua α are regarded as a fixed points of G_H and G_C on the Higgs and Coulomb branch respectively, and $\mu_{H,\mathbb{R}}$, $\mu_{C,\mathbb{R}}$ are the real moment maps for these actions. This construction is motivated by the fact that the $\mathcal{N} = (2, 2)$ BPS equations reduce to the above gradient flows in sigma models to the Higgs and Coulomb branches.

In general, this prescription is also expected to work for non-abelian theories. However in that case there is the additional subtlety of requiring that the boundary condition completely breaks the complexified gauge group. This ensures that there are no additional non-compact 2d degrees of freedom at the boundary. This is discussed in section 4.4 of [18] and explored in [34] for a theory with adjoint matter. In this work we focus on supersymmetric QED, leaving general abelian theories to appendix B.

Let us then consider supersymmetric QED with gauge group $G = \text{U}(1)$ and N fundamental hypermultiplets (X_j, Y_j) . The bulk global symmetries are $G_H = \text{PSU}(N)$ and $G_C = \text{U}(1)$ (enhanced to $\text{SU}(2)$ when $N = 2$). Correspondingly, we can introduce real mass parameters (m_1, \dots, m_N) obeying $\sum_j m_j = 0$ and a real FI parameter η .

The classical vacua are solutions of

$$\begin{aligned} \sum_{j=1}^N |X_j|^2 - |Y_j|^2 &= \eta, & \sum_j X_j Y_j &= 0, \\ (\sigma + m_j) X_j &= 0, & \varphi X_j &= 0, \\ (\sigma - m_j) Y_j &= 0, & \varphi Y_j &= 0, \end{aligned} \quad (3.3)$$

where σ and φ are the real and complex scalar fields in the vectormultiplet respectively. Turning off masses, this exhibits the Higgs branch as $T^*\mathbb{P}^{N-1}$. Turning off FI parameters, the Coulomb branch is a resolution of $\mathbb{C}^2/\mathbb{Z}_N$.

Assuming generic real mass and FI parameters, there are N isolated massive vacua,

$$v_i : \quad |X_j|^2 - |Y_j|^2 = \begin{cases} \eta & \text{if } j = i \\ 0 & \text{if } j \neq i \end{cases}, \quad X_j Y_j = 0, \quad \sigma = -m_i, \quad \varphi = 0, \quad (3.4)$$

labelled by $i = 1, \dots, N$. The massive vacua have central charges

$$Z_i = \sum_{j=1}^N m_j \left(|X_j|^2 - |Y_j|^2 \right) \Big|_{v_i} = m_i \eta \quad (3.5)$$

or equivalently mixed supersymmetric Chern-Simons term with components $\kappa_{i,j} = \delta_{ij}$.

In this case, generic parameters means concretely that $m_i \neq m_j$ for $i \neq j$ and $\eta \neq 0$. There are therefore $N!$ chambers $\mathfrak{C}_H \subset \mathfrak{t}_H$ specified by an ordering of the real masses and two chambers $\mathfrak{C}_C \subset \mathfrak{t}_H$ specified by the sign of η .

Henceforth, we fix

$$\mathfrak{C}_H = \{m_1 < m_2 < \dots < m_N\}, \quad \mathfrak{C}_C = \{\eta > 0\}. \quad (3.6)$$

We now consider exceptional Dirichlet boundary conditions \mathcal{B}_i which behave as thimble boundary conditions in the presence of mass and FI parameters in these chambers. We refer to [18] for more details.² The boundary condition \mathcal{B}_i imposes Dirichlet boundary conditions for the vector multiplet with a non-vanishing expectation value

$$\varphi|_{\partial} = 0 \quad (3.7)$$

together with

$$\begin{aligned} \partial_{\perp} Y_j &= 0, & X_j &= c\delta_{ij} & j &\leq i \\ \partial_{\perp} X_j &= 0, & Y_j &= 0 & j &> i, \end{aligned} \quad (3.8)$$

where $c \neq 0$.

The boundary conditions $\{\mathcal{B}_i\}$ associated to the opposite chamber $\mathfrak{C}_C = \{\eta < 0\}$ for the FI parameter are obtained by interchanging the boundary conditions for X_j and Y_j for all $j = 1, \dots, N$. Similarly, the boundary conditions associated to other chambers \mathfrak{C}_H for the mass parameters are related by permutations of the hypermultiplets.

The boundary mixed 't Hooft anomalies can be computed following [29],

$$\begin{aligned} \tilde{k}(\mathcal{B}_i) &= 2i - N - 1, \\ k_V(\mathcal{B}_i) &= 1, \\ k_{A,j}(\mathcal{B}_i) &= \begin{cases} -1 & \text{if } j < i \\ 2i - N - 1 & \text{if } j = i \\ +1 & \text{if } j > i \end{cases}, \\ k_j(\mathcal{B}_i) &= \delta_{ij}, \end{aligned} \quad (3.9)$$

where $j = 1, \dots, N$. The general abelian case is derived in appendix B. As expected, the anomaly coefficient in the final line coincides with the components of the effective supersymmetric Chern-Simons term $\kappa_{i,j} = \delta_{ij}$ in the vacuum v_i .

3.3 Half superconformal index

We compute the half superconformal index of the boundary conditions \mathcal{B}_i in two steps. We first compute the half superconformal index of a Dirichlet boundary condition with $c = 0$ and then deform to $c \neq 0$. The second step involves a redefinition of the boundary symmetries and therefore we first review this process abstractly. This is similar in spirit to the construction of surface defects in [35].

²In the language of [18] we work with ‘right’ boundary conditions, but our convention for the FI parameter is opposite.

Suppose we have a Dirichlet boundary condition \mathcal{B} in a $U(1)$ gauge theory preserving a maximal torus $U(1)_V \times U(1)_A$ of the bulk R-symmetry and a distinguished boundary symmetry $U(1)_\partial$ arising from the bulk gauge symmetry. The half superconformal index of this boundary condition has the form

$$\mathcal{I}_\mathcal{B} = \text{Tr}_{\mathcal{H}_\mathcal{B}}(-1)^F q^{J+\frac{R_V+R_A}{4}} t^{\frac{R_V-R_A}{2}} x^{F_H} \xi^{F_C} z^{F_g}, \quad (3.10)$$

where z and F_g denote respectively the fugacity and generator of $U(1)_\partial$. Suppose we now initiate a boundary RG flow to a new superconformal boundary condition \mathcal{B}_c by turning on an expectation value c for a hypermultiplet scalar of charge $+1$ under $U(1)_\partial$ and weight Q_H under T_H . A hypermultiplet scalar also has charge 1 under R_V and therefore a linear combination of $U(1)_V$, $U(1)_\partial$, T_H is spontaneously broken. However, the linear combinations

$$\begin{aligned} R'_V &:= R_V - F_g \\ F'_H &:= F_H - Q_H F_g \end{aligned} \quad (3.11)$$

are preserved along the RG flow and become the boundary vector R-symmetry and Higgs branch flavour symmetry of boundary condition \mathcal{B}_c .

At the level of the half superconformal index, this is implemented by setting the weight of this field to unity, $q^{\frac{1}{4}} t^{\frac{1}{2}} x^{Q_H} z = 1$ and eliminating z . Indeed, we find

$$\begin{aligned} \mathcal{I}_\mathcal{B}(z \rightarrow q^{-1/4} t^{-1/2} x^{-Q_H}) &= \text{Tr}_{\mathcal{H}_\mathcal{B}}(-1)^F q^{J+\frac{R'_V+R_A}{4}} t^{\frac{R'_V-R_A}{2}} x^{F'_H} \xi^{F_C} \\ &= \text{Tr}_{\mathcal{H}_{\mathcal{B}_c}}(-1)^F q^{J+\frac{R'_V+R_A}{4}} t^{\frac{R'_V-R_A}{2}} x^{F'_H} \xi^{F_C}. \end{aligned} \quad (3.12)$$

In making this argument, we assume that any difference between $\mathcal{H}_{\mathcal{B}_c}$ and $\mathcal{H}_\mathcal{B}$ (with the gradings shifted by setting $z = q^{-\frac{1}{4}} t^{-\frac{1}{2}} x^{-Q_H}$) cancels out in the trace. This follows from the fact that c is an exact deformation of the boundary action.

Let us now implement this procedure for exceptional Dirichlet boundary conditions. The first step is to evaluate the half superconformal index of the Dirichlet boundary condition with $c = 0$ in equation (3.8) and preserves an additional boundary symmetry $U(1)_\partial$ with fugacity z . This is given by

$$\sum_{m \in \mathbb{Z}} \left(\xi \left(q^{\frac{1}{4}} t^{-\frac{1}{2}} \right)^{2i-N} \right)^m \frac{(tq^{\frac{1}{2}}; q)_\infty}{(q; q)_\infty} \prod_{j \leq i} \frac{(q^{\frac{3}{4}+m} t^{-\frac{1}{2}} z^{-1} x_j^{-1}; q)_\infty}{(q^{\frac{1}{4}+m} t^{\frac{1}{2}} z^{-1} x_j^{-1}; q)_\infty} \prod_{j > i} \frac{(q^{\frac{3}{4}-m} t^{-\frac{1}{2}} z x_j; q)_\infty}{(q^{\frac{1}{4}-m} t^{\frac{1}{2}} z x_j; q)_\infty}. \quad (3.13)$$

where we have fugacities ξ and x_1, \dots, x_N for T_C and T_H respectively and the q -Pochhammer symbols $(a, q)_\infty$ should be understood as expansions in q . The summation over $m \in \mathbb{Z}$ arises from boundary monopole operators. The power of $q^{\frac{1}{4}} t^{-\frac{1}{2}}$ multiplying ξ is due a boundary mixed 't Hooft anomaly between $U(1)_A$ and $U(1)_\partial$.

The second step is introduce an expectation value $c \neq 0$ for X_i and flow to the exceptional Dirichlet boundary condition \mathcal{B}_i . As described above, this is implemented by setting $z = x_i^{-1} t^{-\frac{1}{2}} q^{-\frac{1}{4}}$. Performing this substitution in equation (3.13), the half superconformal

index is

$$\begin{aligned} \mathcal{I}_{\mathcal{B}_i} &= \prod_{j=1}^{i-1} \frac{\left(q \frac{x_i}{x_j}; q\right)_\infty}{\left(q^{\frac{1}{2}} t \frac{x_i}{x_j}; q\right)_\infty} \prod_{j=i+1}^N \frac{\left(q^{\frac{1}{2}} t^{-1} \frac{x_j}{x_i}; q\right)_\infty}{\left(\frac{x_j}{x_i}; q\right)_\infty} \\ &\times \sum_{m \geq 0} \left(\left(q^{\frac{1}{4}} t^{-\frac{1}{2}} \right)^N \xi \right)^m \prod_{j=1}^N \frac{\left(q^{\frac{1}{2}} t \frac{x_i}{x_j}; q \right)_m}{\left(q \frac{x_i}{x_j}; q \right)_m}, \end{aligned} \quad (3.14)$$

where the summation now only extends over $m \in \mathbb{Z}_{\geq 0}$. The second line coincides with the vortex partition function for $\mathcal{N} = 4$ supersymmetric QED [19–21, 36] and can be interpreted geometrically as a K-theoretic vertex function [37–39].

Let us now consider limits of the half superconformal index preserving additional supercharges. First, in the A -limit $t^{\frac{1}{2}} \rightarrow q^{\frac{1}{4}}$, the contributions from ratios of q -Pochhammer symbols cancel out completely leaving

$$\mathcal{I}_{\mathcal{B}_i}^{(A)} = \sum_{m \geq 0} \xi^m = \frac{1}{1 - \xi} \quad (3.15)$$

for all $i = 1, \dots, N$. This converges when $|\xi| < 1$, corresponding to the fact that the collection of exceptional Dirichlet boundary conditions $\{\mathcal{B}_i\}$ are compatible with a real FI parameter in the chamber $\mathfrak{C}_C = \{\eta > 0\}$.

Second, in the B -limit $t^{\frac{1}{2}} \rightarrow q^{-\frac{1}{4}}$, the contributions from $m > 0$ vanish and the remaining contribution from $m = 0$ converges to

$$\mathcal{I}_{\mathcal{B}_i}^{(B)} = \prod_{j=1}^{i-1} \frac{1}{1 - x_i/x_j} \prod_{j=i+1}^N \frac{1}{1 - x_j/x_i} \quad (3.16)$$

provided that $|x_j| < |x_k|$ for $k < j$. This corresponds to the fact that the collection of exceptional Dirichlet boundary conditions $\{\mathcal{B}_i\}$ are compatible with real mass parameters in the chamber $\mathcal{C}_H = \{m_1 < m_2 < \dots m_N\}$.

3.4 Hemisphere partition function

We can now upgrade these computations to the hemisphere partition function. The ratios of q -Pochhammer symbols are replaced by regularised 1-loop determinants. The details are included in appendix A. The result is an additional prefactor e^{ϕ_i} encoding the boundary mixed 't Hooft anomalies obtained by substituting (3.9) into (2.21). Explicitly

$$\mathcal{Z}_{\mathcal{B}_i} = \mathcal{Z}_i^{\text{Cl}} \mathcal{Z}_i^{1\text{-loop}} \mathcal{Z}_i^{\text{Vortex}} \quad (3.17)$$

where:

$$\mathcal{Z}_i^{\text{Cl}} = e^{\phi_i}, \quad (3.18)$$

$$\mathcal{Z}_i^{1\text{-loop}} = \prod_{j=1}^{i-1} \frac{\left(q \frac{x_i}{x_j}; q\right)_\infty}{\left(q^{\frac{1}{2}} t \frac{x_i}{x_j}; q\right)_\infty} \prod_{j=i+1}^N \frac{\left(q^{\frac{1}{2}} t^{-1} \frac{x_j}{x_i}; q\right)_\infty}{\left(\frac{x_j}{x_i}; q\right)_\infty}, \quad (3.19)$$

$$\mathcal{Z}_i^{\text{Vortex}} = \sum_{m \geq 0} \left(\left(q^{\frac{1}{4}} t^{-\frac{1}{2}} \right)^N \xi \right)^m \prod_{j=1}^N \frac{\left(q^{\frac{1}{2}} t \frac{x_i}{x_j}; q \right)_m}{\left(q \frac{x_i}{x_j}; q \right)_m}. \quad (3.20)$$

The prefactor is given by

$$\begin{aligned} \phi_i = (2i - N - 1) & \frac{\log\left(q^{\frac{1}{4}}t^{-\frac{1}{2}}\right)\log\left(q^{\frac{1}{4}}t^{\frac{1}{2}}\right)}{\log q} + \frac{\log \xi \log(x_i)}{\log q} + \frac{\log \xi \log\left(q^{\frac{1}{4}}t^{\frac{1}{2}}\right)}{\log q} \\ & + \sum_{j < i} \frac{\log\left(q^{\frac{1}{4}}t^{-\frac{1}{2}}\right)\log(x_i/x_j)}{\log q} + \sum_{j > i} \frac{\log\left(q^{\frac{1}{4}}t^{-\frac{1}{2}}\right)\log(x_j/x_i)}{\log q}. \end{aligned} \quad (3.21)$$

We have limits:

$$\mathcal{X}_i^H = \lim_{t^{\frac{1}{2}} \rightarrow q^{-\frac{1}{4}}} \mathcal{Z}_{\mathcal{B}_i} = e^{\frac{\log \xi \log(x_i)}{\log q}} \prod_{j < i} \frac{(x_i/x_j)^{1/2}}{1 - x_i/x_j} \prod_{j > i} \frac{(x_j/x_i)^{1/2}}{1 - x_j/x_i}, \quad (3.22)$$

$$\mathcal{X}_i^C = \lim_{t^{\frac{1}{2}} \rightarrow q^{\frac{1}{4}}} \mathcal{Z}_{\mathcal{B}_i} = e^{\frac{\log \xi \log(x_i)}{\log q}} \frac{\xi^{\frac{1}{2}}}{1 - \xi}. \quad (3.23)$$

3.5 Characters of Verma modules

The exceptional Dirichlet boundary conditions \mathcal{B}_i define lowest weight Verma modules for the quantised algebra of functions on the Coulomb branch and Higgs branch in the Ω_A and Ω_B deformations respectively. We now show that the A and B -limits of the hemisphere partition function reproduces the characters of these representations.

3.5.1 Higgs branch

The quantised Higgs branch chiral ring in supersymmetric QED can be constructed via quantum symplectic reduction. It is generated from N commuting copies of the Heisenberg algebra

$$[\hat{Y}_j, \hat{X}_j] = \epsilon \delta_{ij}, \quad j = 1, \dots, N, \quad (3.24)$$

restricting to gauge invariant combinations, and imposing the constraint

$$\sum_{j=1}^N :\hat{X}_j \hat{Y}_j: = \eta_{\mathbb{C}}, \quad (3.25)$$

where the normal ordering is $:\hat{X}_j \hat{Y}_j: = \hat{X}_j \hat{Y}_j + \frac{\epsilon}{2} = \hat{Y}_j \hat{X}_j - \frac{\epsilon}{2}$. These are the quantisations of the complex moment maps for the $U(1)$ subgroup of T_H rotating the j^{th} hypermultiplet. The complex FI parameter $\eta_{\mathbb{C}}$ determines the period of the deformation quantisation.

It is convenient to introduce gauge-invariant generators

$$\begin{aligned} h_j &= \hat{X}_j \hat{Y}_j - \hat{X}_{j+1} \hat{Y}_{j+1}, \\ e_j &= \hat{X}_j \hat{Y}_{j+1} & j = 1, \dots, N-1, \\ f_j &= \hat{X}_{j+1} \hat{Y}_j & j = 1, \dots, N-1, \end{aligned} \quad (3.26)$$

such that

$$\begin{aligned} [e_i, f_j] &= \epsilon \delta_{ij} h_j, \\ [h_i, e_j] &= +\epsilon A_{ij} e_j, \\ [h_i, f_j] &= -\epsilon A_{ij} f_j, \end{aligned} \quad (3.27)$$

and

$$\begin{aligned} \text{ad}(e_i)^{1-A_{ij}} e_j &= 0, \\ \text{ad}(f_i)^{1-A_{ij}} f_j &= 0. \end{aligned} \quad (3.28)$$

where A_{ij} is the Cartan matrix of \mathfrak{sl}_N . The complex moment map equation then determines all of the Casimir elements of the enveloping algebra of \mathfrak{sl}_N in terms of the period $\eta_{\mathbb{C}}$. We therefore find a central quotient of $U(\mathfrak{sl}_N)$.

More generally, it is convenient to introduce generators

$$\begin{aligned} e_{i,j} &= \hat{X}_i \hat{Y}_j & \text{for } i < j, \\ f_{i,j} &= \hat{X}_i \hat{Y}_j & \text{for } i > j, \end{aligned} \quad (3.29)$$

such that for example, $e_{j,j+1} = e_j$ and $e_{j,j+2} = \frac{1}{\epsilon}[e_j, e_{j+1}]$. We also note that the generator of the global symmetry $U(1)_m \subset T_H$ generated by real mass parameters m_1, \dots, m_N is

$$\begin{aligned} h_m &:= \sum_{j=1}^N m_j : \hat{X}_j \hat{Y}_j : \\ &= \sum_{j,k=1}^{N-1} (m_j - m_{j+1}) A_{jk}^{-1} h_j \end{aligned} \quad (3.30)$$

such that

$$\begin{aligned} [h_m, e_{i,j}] &= \epsilon(m_i - m_j) e_{i,j} & \text{for } i < j, \\ [h_m, f_{i,j}] &= \epsilon(m_i - m_j) f_{i,j} & \text{for } i > j. \end{aligned} \quad (3.31)$$

This means that inside our chosen chamber $\mathfrak{C}_H = \{m_1 < m_2 < \dots m_N\}$ for the real mass parameters, $e_{i,j}$ and $f_{i,j}$ are lowering and raising operators respectively for the weight associated to h_m .

Let us now consider the modules associated to the exceptional Dirichlet boundary conditions \mathcal{B}_i defined in equation (3.8). These modules are generated by acting on a vacuum state $|\mathcal{B}_i\rangle$ satisfying

$$\begin{aligned} \hat{X}_j |\mathcal{B}_i\rangle &= \delta_{ij} c |\mathcal{B}_i\rangle & \text{for } j = 1, \dots, i, \\ \hat{Y}_j |\mathcal{B}_i\rangle &= 0 & \text{for } j = i+1, \dots, N, \end{aligned} \quad (3.32)$$

where c is a non-zero constant. In the action of gauge-invariant generators on the vacuum state, the constant c can always be absorbed using the fact that the complex moment map equation annihilates this vacuum state. First, we find

$$h_m |\mathcal{B}_i\rangle = \left[\frac{\epsilon}{2} \left(\sum_{j>i} m_j - \sum_{j<i} m_j \right) + \left(\eta_{\mathbb{C}} - \frac{N-2i+1}{2} \epsilon \right) m_i \right] |\mathcal{B}_i\rangle \quad (3.33)$$

which encodes the boundary mixed 't Hooft anomalies for the global symmetry $U(1)_m$ in (3.9) as claimed in section 2.5, after identifying $J_{H,i} = \frac{1}{\epsilon} : \hat{X}_i \hat{Y}_i :$ and fugacities $x_i = e^{-m_i}$.

In addition, the boundary state is annihilated by $e_{j,k}$ for all $j < k$. Finally, the operators not annihilating the boundary state are

$$\begin{aligned} f_{i,j} & \text{ for } j < i \\ f_{k,i} & \text{ for } k > i \end{aligned} \quad (3.34)$$

and therefore their action on the boundary state generates a lowest weight Verma module in our chamber for the mass parameters.

We can now compute the character of this module using equation (3.33) and the commutators (3.31) to find

$$\begin{aligned} \text{Tr } e^{-\frac{h_m}{\epsilon}} &= x_i^{\frac{\eta_{\mathbb{C}}}{\epsilon}} \prod_{j < i} \left(\frac{x_i}{x_j} \right)^{\frac{1}{2}} \prod_{k > i} \left(\frac{x_k}{x_i} \right)^{\frac{1}{2}} \prod_{j < i} \left(1 + \frac{x_i}{x_j} + \frac{x_i^2}{x_j^2} + \dots \right) \prod_{k > i} \left(1 + \frac{x_k}{x_i} + \frac{x_k^2}{x_i^2} + \dots \right) \\ &= x_i^{\frac{\eta_{\mathbb{C}}}{\epsilon}} \prod_{j < i} \frac{\left(\frac{x_i}{x_j} \right)^{\frac{1}{2}}}{1 - \frac{x_i}{x_j}} \prod_{k > i} \frac{\left(\frac{x_k}{x_i} \right)^{\frac{1}{2}}}{1 - \frac{x_k}{x_i}}. \end{aligned} \quad (3.35)$$

where the second line converges in our choice of chamber. This reproduces the B -limit (3.22) of the hemisphere partition function.

3.5.2 Coulomb branch

The quantised Coulomb branch chiral ring of supersymmetric QED is generated by the complex scalar φ and the monopole operators v^{\pm} subject to

$$\begin{aligned} [\hat{\varphi}, \hat{v}_{\pm}] &= \pm \epsilon \hat{v}_{\pm}, \\ \hat{v}_+ \hat{v}_- &= \prod_{i=1}^N \left(\hat{\varphi} + m_{i,\mathbb{C}} - \frac{\epsilon}{2} \right), \\ \hat{v}_- \hat{v}_+ &= \prod_{i=1}^N \left(\hat{\varphi} + m_{i,\mathbb{C}} + \frac{\epsilon}{2} \right), \end{aligned} \quad (3.36)$$

which is a spherical rational Cherednik algebra.

The topological global symmetry generated by a real FI parameter $\eta \in \mathbb{R}$ is generated by the operator $h_{\eta} = -\eta \hat{\varphi}$ such that

$$[h_{\eta}, \hat{v}_{\pm}] = \mp \epsilon \eta \hat{v}_{\pm}. \quad (3.37)$$

This means that in our chamber $\mathfrak{C}_H = \{\eta > 0\}$, the monopole operator \hat{v}_+ is a lowering operator and \hat{v}_- is a raising operator with respect to h_{η} . The minus sign in h_{η} compared to h_m comes from our convention for the FI parameter.

Let us now consider the modules for the quantised Coulomb branch algebra associated to the exceptional Dirichlet boundary conditions \mathcal{B}_i . The modules are generated by boundary states $|\mathcal{B}_i\rangle$ that obey

$$\left(\hat{\varphi} + m_{i,\mathbb{C}} + \frac{\epsilon}{2} \right) |\mathcal{B}_i\rangle = 0, \quad \hat{v}_+ |\mathcal{B}_i\rangle = 0. \quad (3.38)$$

Note that the expression in the brackets is the effective complex mass in the Ω_A -deformation of the complex scalar X_i , which arises because X_i receives a non-vanishing expectation value at the boundary. The second arises from an analysis of boundary monopole operators. The boundary condition therefore generates a lowest weight Verma module by acting with \hat{v}_- . The character of this module is

$$\begin{aligned}\mathrm{Tr} e^{-\frac{h\eta}{\epsilon}} &= \xi^{\frac{m_{i,\mathbb{C}}}{\epsilon} + \frac{1}{2}} (1 + \xi + \xi^2 + \dots) \\ &= \xi^{\frac{m_{i,\mathbb{C}}}{\epsilon}} \frac{\xi^{\frac{1}{2}}}{1 - \xi}\end{aligned}\tag{3.39}$$

which converges to the second line for $|\xi| < 1$. This agrees with the result for the A -limit (3.23) of the hemisphere partition function.

4 Factorisation

We now consider the factorisation of 3d $\mathcal{N} = 4$ partition functions on closed 3-manifolds in terms of hemisphere partition functions associated to vacua. As a corollary to our analysis for hemisphere partition functions, we show that 3-manifold partition functions can be factorised in terms of Verma module characters of \mathcal{A}_H and \mathcal{A}_C .

4.1 Preliminaries

For theories with $\mathcal{N} \geq 2$ supersymmetry, partition functions on many 3-manifolds \mathcal{M}_3 admit a factorisation schematically of the form

$$\mathcal{Z}_{\mathcal{M}_3} = \sum_{\alpha} H_{\alpha} \tilde{H}_{\alpha} \tag{4.1}$$

where α correspond to isolated vacua. The ‘ \sim ’ operation implements a transformation of fugacities corresponding to the orientation reversal and element g of $\mathrm{SL}(2, \mathbb{Z})$ gluing the boundary tori $\partial(S^1 \times H^2) = T^2$ in the Heegaard decomposition of \mathcal{M}_3 :

$$\mathcal{M}_3 = (S^1 \times H^2) \cup_g (S^1 \times H^2). \tag{4.2}$$

In this work we focus on factorisations of the $S^1 \times S^2$ superconformal and twisted indices, and the partition function on the squashed sphere or ellipsoid.

Our proposal for theories with $\mathcal{N} = 4$ supersymmetry is to identify the components H_{α} with hemisphere partition function on $S^1 \times H^2$ computed with the particular boundary condition \mathcal{B}_{α} :

$$H_{\alpha} = \mathcal{Z}_{\mathcal{B}_{\alpha}}. \tag{4.3}$$

This identification depends on a choice of chambers $\mathfrak{C}_H, \mathfrak{C}_C$ in the spaces of real mass and FI parameters and the blocks H_{α} may differ from the traditional holomorphic blocks in classical and 1-loop contributions. This gives a clean geometric interpretation of factorisation where each block is associated to a vacuum in a systematic way.

It is then natural to examine factorisation in limits that preserve additional supercharges. This yields various formulae for such partition functions as sums over vacua of

pairs of characters of Verma modules for $\mathcal{A}_H, \mathcal{A}_C$. Such a formula was proposed for the S^3 partition function in [28]. The present work shows that this arises naturally from the more general factorisation in equation (4.1). We check this explicitly for a free hypermultiplet and supersymmetric QED.

Partial factorisations have been demonstrated explicitly using Coulomb branch localisation in a number of examples [1–6]. Higgs branch localisation offers a more direct approach where the path integral is localised to a sum over vortex contributions [10, 11]. We note in contrast the factorisation we propose is exact, in the sense that the perturbative pieces of $\mathcal{Z}_{\mathcal{M}_3}$ are fully factorised into those of $\mathcal{Z}_{\mathcal{B}_\alpha}$.

4.2 Superconformal index

The superconformal index on $S^1 \times S^2$ is defined analogously to the half superconformal index introduced in section 2.3 and so our discussion here is brief. The superconformal index is defined by

$$\mathcal{Z}_{\text{SC}} = \text{Tr}_{\mathcal{H}_{\text{SC}}} (-1)^F q^{J_3 + \frac{R_V + R_A}{4}} t^{\frac{R_V - R_A}{2}} x^{F_H} \xi^{F_C}, \quad (4.4)$$

where \mathcal{H}_{SC} is the space of local operators annihilated by the pair of conjugate supercharges Q_+^{11} and S_{11}^+ , or equivalently states in radial quantisation. The index can be computed as a path integral on $S^1 \times S^2$ [10, 11, 40–42].

We propose an exact factorisation of the superconformal index into hemisphere partition functions for the distinguished boundary conditions \mathcal{B}_α associated to vacua,

$$\mathcal{Z}_{\text{SC}}(q, t, x, \xi) = \sum_{\alpha} \mathcal{Z}_{\mathcal{B}_\alpha}(q, t, x, \xi) \mathcal{Z}_{\mathcal{B}_\alpha}(\bar{q}, \bar{t}, \bar{x}, \bar{\xi}), \quad (4.5)$$

where

$$\bar{q} = q^{-1}, \quad \bar{t} = t^{-1}, \quad \bar{x} = x^{-1}, \quad \bar{\xi} = \xi^{-1} \quad (4.6)$$

is the transformation of variables implementing the splitting of $S^1 \times S^2$.

We are interested in limits of the superconformal index as $t^{\frac{1}{2}} \rightarrow q^{\pm \frac{1}{4}}$, where the remaining generators commute with additional supercharges. These limits were also studied in [43], where it was noted that the superconformal index reproduces the Hilbert series of the Higgs and Coulomb branch, and thus depend only on fugacities x and ξ respectively. We make a connection here to characters of Verma modules for $\mathcal{A}_H, \mathcal{A}_C$.

The arguments are the same as in section 2, and using the exact factorisation (4.5), in the limit we recover the equivariant Coulomb and Higgs branch Hilbert series

$$\begin{aligned} \left[\lim_{t^{\frac{1}{2}} \rightarrow q^{\frac{1}{4}}} \mathcal{Z}_{\text{SC}} \right] (\xi) &= \sum_{\alpha} \mathcal{X}_{\alpha}^C(q, x, \xi) \mathcal{X}_{\alpha}^C(q^{-1}, x^{-1}, \xi^{-1}), \\ \left[\lim_{t^{\frac{1}{2}} \rightarrow q^{-\frac{1}{4}}} \mathcal{Z}_{\text{SC}} \right] (x) &= \sum_{\alpha} \mathcal{X}_{\alpha}^H(q, x, \xi) \mathcal{X}_{\alpha}^H(q^{-1}, x^{-1}, \xi^{-1}), \end{aligned} \quad (4.7)$$

expressed as a sum of products of Verma module characters for \mathcal{A}_H and \mathcal{A}_C respectively. Note that although \mathcal{X}_{α}^C retain a residual q and x dependence due to the mixed $T_H \times T_C$

boundary 't Hooft anomaly, these contributions cancel in the gluing such that the limit $t^{\frac{1}{2}} \rightarrow q^{\frac{1}{4}}$ of the superconformal index depends only on ξ . Analogous statements hold in the other limit.

4.2.1 Example: hypermultiplet

We briefly consider factorisation of the superconformal index of a free hypermultiplet. In the chamber $\mathfrak{C}_H = \{m > 0\}$, the factorisation is in terms of the boundary condition \mathcal{B}_X . In the absence of background flux, the superconformal index is³

$$\mathcal{Z}_{\text{SC}} = \frac{\left(q^{\frac{3}{4}}xt^{-\frac{1}{2}}; q\right)_{\infty}}{\left(q^{\frac{1}{4}}x^{-1}t^{\frac{1}{2}}; q\right)_{\infty}} \frac{\left(q^{\frac{3}{4}}x^{-1}t^{-\frac{1}{2}}; q\right)_{\infty}}{\left(q^{\frac{1}{4}}xt^{\frac{1}{2}}; q\right)_{\infty}} = \|\mathcal{Z}_{\mathcal{B}_X}\|_{\text{SC}}^2, \quad (4.8)$$

where

$$\mathcal{Z}_{\mathcal{B}_X} = e^{\frac{1}{\log q} \log x \log(q^{\frac{1}{4}}t^{-\frac{1}{2}})} \frac{(q^{\frac{3}{4}}t^{-\frac{1}{2}}x; q)_{\infty}}{(q^{\frac{1}{4}}t^{\frac{1}{2}}x; q)_{\infty}} \quad (4.9)$$

is the full hemisphere partition function of \mathcal{B}_X and we have used the analytic continuation $(a; q)_{\infty} = (aq^{-1}; q^{-1})_{\infty}^{-1}$. Note that the contribution of boundary anomalies to the hemisphere partition function (4.9) cancels out in the superconformal index.

The superconformal index in the A limit $t^{\frac{1}{2}} \rightarrow q^{\frac{1}{4}}$ is 1, reflecting the absence of a Coulomb branch. The superconformal index in the B -limit $t^{\frac{1}{2}} \rightarrow q^{-\frac{1}{4}}$ is

$$\lim_{t^{\frac{1}{2}} \rightarrow q^{-\frac{1}{4}}} \mathcal{Z}_{\text{SC}} = \mathcal{X}_{\mathcal{B}_X}^H(x) \mathcal{X}_{\mathcal{B}_X}^H(x^{-1}) = -\frac{x}{(1-x)^2}, \quad (4.10)$$

which coincides with the equivariant Hilbert series of the Higgs branch $T^*\mathbb{C}$.

4.2.2 Example: SQED

The superconformal index of supersymmetric QED with N hypermultiplets can be computed by localisation and was factorised into holomorphic blocks in [3]. After an appropriate redefinition of parameters, shifting the fugacity t to grade by the $\mathcal{N} = 4$ superconformal R-charge and including the contribution of the $\mathcal{N} = 2$ adjoint chiral multiplet we have:

$$\begin{aligned} \mathcal{Z}_{\text{SC}} &= \sum_{\mathbf{m} \in \mathbb{Z}} \xi^{\mathbf{m}} \left(\frac{q^{\frac{1}{2}}}{t}\right)^{\frac{N|\mathbf{m}|}{2}} \frac{(tq^{\frac{1}{2}}; q)_{\infty}}{(t^{-1}q^{\frac{1}{2}}; q)_{\infty}} \\ &\quad \oint \frac{dz}{2\pi iz} \prod_{j=1}^N \frac{\left(z^{-1}x_j^{-1}t^{-\frac{1}{2}}q^{\frac{3}{4}+\frac{|\mathbf{m}|}{2}}; q\right)_{\infty}}{\left(zx_jt^{\frac{1}{2}}q^{\frac{1}{4}+\frac{|\mathbf{m}|}{2}}; q\right)_{\infty}} \frac{\left(zx_jt^{-\frac{1}{2}}q^{\frac{3}{4}+\frac{|\mathbf{m}|}{2}}; q\right)_{\infty}}{\left(z^{-1}x_j^{-1}t^{\frac{1}{2}}q^{\frac{1}{4}+\frac{|\mathbf{m}|}{2}}; q\right)_{\infty}} \\ &= \sum_{i=1}^N \left\| \prod_{j \neq i} \frac{(q^{\frac{x_i}{x_j}}; q)_{\infty}}{(tq^{\frac{1}{2}}\frac{x_i}{x_j}; q)_{\infty}} \sum_{m \geq 0} \left(\left(q^{\frac{1}{4}}t^{-\frac{1}{2}}\right)^N \xi\right)^m \prod_{j=1}^N \frac{(tq^{\frac{1}{2}}\frac{x_i}{x_j}; q)_m}{(q^{\frac{x_i}{x_j}}; q)_m} \right\|_{\text{SC}}^2 \end{aligned} \quad (4.11)$$

³We use $\|\cdot\|_{\text{SC}}^2$ throughout this section to denote the gluing (4.6), and similar notation for the twisted index and ellipsoid partition function.

where the contour encloses the poles

$$z = x_j^{-1} t^{-\frac{1}{2}} q^{-\frac{1}{4} - \frac{|m|}{2} - l} \quad j = 1, \dots, N, \quad l \in \mathbb{Z}_{\geq 0}. \quad (4.12)$$

The holomorphic block decomposition is not automatically written in terms of hemisphere partition functions of the boundary conditions \mathcal{B}_i . In order to do so, we can rewrite the 1-loop contribution to $\mathcal{Z}_i^{1\text{-loop}}$ of $\mathcal{Z}_{\mathcal{B}_i}$ given in equation (3.19) as

$$\mathcal{Z}_i^{1\text{-loop}} = \prod_{j \neq i} \frac{(q^{\frac{x_i}{x_j}}; q)_{\infty}}{(tq^{\frac{1}{2} \frac{x_i}{x_j}}; q)_{\infty}} \prod_{j=i+1}^N \frac{\theta(tq^{\frac{1}{2} \frac{x_i}{x_j}}; q)}{\theta(q^{\frac{x_i}{x_j}}; q)}, \quad (4.13)$$

where we define $\theta(x; q) := (x; q)(qx^{-1}; q)$. Then we note that the theta functions in (4.13) fuse trivially using the identity

$$\theta(aq^{\frac{m}{2}}; q) \theta(a^{-1}q^{-\frac{m}{2}}; q^{-1}) = 1, \quad (4.14)$$

and also that the anomaly contribution to the hemisphere partition function in equation (3.18) satisfies $\|\mathcal{Z}_i^{\text{Cl}}\|_{\text{SC}}^2 = 1$. Combining these results we find

$$\mathcal{Z}_{\text{SC}} = \sum_{i=1}^N \|\mathcal{Z}_{\mathcal{B}_i}\|_{\text{SC}}^2, \quad (4.15)$$

as required. This computation for the superconformal index had the simple feature that the classical or anomaly contribution glues to 1 and we could have worked with the half-superconformal index $\mathcal{I}_{\mathcal{B}_i}$. However, this will not be the case for the twisted index, where it plays a crucial role in recovering an exact factorisation.

In the two limits with enhanced supersymmetry (4.7) we find

$$\begin{aligned} \lim_{t^{\frac{1}{2}} \rightarrow q^{\frac{1}{4}}} \mathcal{Z}_{\text{SC}} &= \sum_{i=1}^N \mathcal{X}_i^C(q, x, \xi) \mathcal{X}_i^C(q^{-1}, x^{-1}, \xi^{-1}) \\ &= -\frac{N\xi}{(1-\xi)^2}, \\ \lim_{t^{\frac{1}{2}} \rightarrow q^{-\frac{1}{4}}} \mathcal{Z}_{\text{SC}} &= \sum_{i=1}^N \mathcal{X}_i^H(q, x, \xi) \mathcal{X}_i^H(q^{-1}, x^{-1}, \xi^{-1}) \\ &= (-1)^{N-1} \sum_{i=1}^N \prod_{j \neq i} \frac{x_j/x_i}{(1-x_j/x_i)^2}, \end{aligned} \quad (4.16)$$

which coincide with the equivariant Coulomb and Higgs branch Hilbert series for supersymmetric QED respectively, up to an overall sign. We note that as expected these depend only on ξ and x respectively.

4.3 $S^1 \times S^2$ twisted index

We next consider the twisted index of 3d $\mathcal{N} = 4$ supersymmetric gauge theories on $S^1 \times S^2$ [44–46]. There are two versions of the twisted index depending on which R-symmetry is used to twist along S^2 :

- The A -twisted index $\mathcal{Z}_{\text{tw}}^A$ twists using $U(1)_H$.
- The B -twisted index $\mathcal{Z}_{\text{tw}}^B$ twists using $U(1)_C$.

These two indices preserve a common pair of supercharges Q_+^{11}, Q_-^{22} that commute with the combinations $J + \frac{R_V}{2}$ and $J + \frac{R_A}{2}$, and the anti-diagonal combination $R_V - R_A$. The twisted indices are then defined by

$$\begin{aligned}\mathcal{Z}_{\text{tw}}^A &= \text{Tr}_{\mathcal{H}_{S^2}^A} (-1)^F q^{J + \frac{R_V}{2}} t^{\frac{R_V - R_A}{2}} x^{F_H} \xi^{F_C}, \\ \mathcal{Z}_{\text{tw}}^B &= \text{Tr}_{\mathcal{H}_{S^2}^B} (-1)^F q^{J + \frac{R_A}{2}} t^{\frac{R_V - R_A}{2}} x^{F_H} \xi^{F_C},\end{aligned}\tag{4.17}$$

where $\mathcal{H}_{S^2}^{A,B}$ denote respectively states in the A, B twisted theory on S^2 that are annihilated by the supercharges Q_+^{11} and Q_-^{22} .

It was shown in [46] that the twisted indices are generating functions for a certain virtual Euler character of moduli spaces of twisted quasi-maps \mathcal{Q} from S^2 to \mathcal{M}_H . The twisted index can be computed by Coulomb branch localisation and factorised into holomorphic blocks [5–7].⁴ Geometrically, this can be understood as a factorisation

$$\mathcal{Z}_{\text{tw}} \simeq \sum_{\alpha} \left\| \chi \left(\mathfrak{Q}^{(\alpha)} \right) \right\|_{\text{tw}}^2 \tag{4.18}$$

where $\chi(\mathfrak{Q}^{(\alpha)})$ denotes schematically a generating function for a virtual Euler character of the moduli space $\mathfrak{Q}^{(\alpha)}$ of based quasi-maps tending to the vacuum ν_{α} [6].

In this section we propose an exact factorisation of the twisted indices in terms of hemisphere partition functions of the distinguished boundary conditions \mathcal{B}_{α} . In this work we do not consider turning on background fluxes for the flavour symmetries. In order to express this factorisation, it is first convenient to introduce A - and B -shifted hemisphere partition functions defined by

$$\mathcal{Z}_{\mathcal{B}_{\alpha}}(q, t, x, \xi) = \mathcal{Z}_{\mathcal{B}_{\alpha}}^A(q, tq^{-\frac{1}{2}}, x, \xi) = \mathcal{Z}_{\mathcal{B}_{\alpha}}^B(q, tq^{\frac{1}{2}}, x, \xi). \tag{4.19}$$

Note that more accurately we mean that, for example in passing to the A -shifted hemisphere partition function, we replace $t^{\frac{1}{2}} \rightarrow t^{\frac{1}{2}} q^{\frac{1}{4}}$. We then propose:

$$\begin{aligned}\mathcal{Z}_{\text{tw}}^A(q, t, x, \xi) &= \sum_{\alpha} \mathcal{Z}_{\mathcal{B}_{\alpha}}^A(q, t, x, \xi) \mathcal{Z}_{\mathcal{B}_{\alpha}}^A(\bar{q}, \bar{t}, \bar{x}, \bar{\xi}), \\ \mathcal{Z}_{\text{tw}}^B(q, t, x, \xi) &= \sum_{\alpha} \mathcal{Z}_{\mathcal{B}_{\alpha}}^B(q, t, x, \xi) \mathcal{Z}_{\mathcal{B}_{\alpha}}^B(\bar{q}, \bar{t}, \bar{x}, \bar{\xi}),\end{aligned}\tag{4.20}$$

where the gluing is

$$\bar{q} = q^{-1}, \quad \bar{t} = t, \quad \bar{x} = x, \quad \bar{\xi} = \xi. \tag{4.21}$$

We are again interested in the limit $t^{\frac{1}{2}} \rightarrow 1$ of the A, B twisted indices, which preserves the four supercharges commuting with $J + \frac{R_V}{2}$, $J + \frac{R_A}{2}$. Supersymmetry implies $\mathcal{Z}_{\text{tw}}^A$ and

⁴It would be interesting to verify this with a Higgs branch localisation scheme including the angular momentum deformation q .

$\mathcal{Z}_{\text{tw}}^B$ are independent of the fugacities x and ξ respectively and (in the absence of background flux) both are independent of q . Therefore

$$\begin{aligned}\mathcal{Z}_{\text{tw}}^A &= \text{Tr}_{\mathcal{H}_{S^2}^A} (-1)^F \xi^{F_C}, \\ \mathcal{Z}_{\text{tw}}^B &= \text{Tr}_{\mathcal{H}_{S^2}^B} (-1)^F x^{F_H},\end{aligned}\tag{4.22}$$

where $\mathcal{H}_{A,B}^{S^2}$ now denotes respectively states in the A, B twisted theory on S^2 annihilated by all four supercharges commuting with $J + \frac{R_V}{2}$, $J + \frac{R_A}{2}$.

These limits compute the partition function of the fully topologically twisted theory, or equivariant Rozansky-Witten invariant, on $S^1 \times S^2$. In this case, the topological state-operator map can be invoked to show that the index counts operators in the cohomology of the scalar supercharges

$$\begin{aligned}Q_A &:= Q_+^{1\dot{1}} + Q_-^{2\dot{1}}, \\ Q_B &:= Q_+^{1\dot{1}} + Q_-^{1\dot{2}}.\end{aligned}\tag{4.23}$$

In ‘good’ and ‘ugly’ theories in the sense of [47], this coincides with local operators in the Coulomb and Higgs branch chiral ring and therefore the twisted indices $\mathcal{Z}_{\text{tw}}^A$ and $\mathcal{Z}_{\text{tw}}^B$ are expected to again reproduce the equivariant Hilbert series of the Coulomb and Higgs branch respectively. For example, the integral representation of the B -twisted index reproduces the Molien integral for the Hilbert series of the Higgs branch [45]. The $t^{\frac{1}{2}} \rightarrow 1$ limits of the A and B twisted indices therefore coincide with the $t^{\frac{1}{2}} \rightarrow q^{\frac{1}{4}}$ and $t^{\frac{1}{2}} \rightarrow q^{-\frac{1}{4}}$ limits of the superconformal index respectively.

From our proposed factorisation (4.20) we recover the formulae

$$\begin{aligned}\left[\lim_{t^{\frac{1}{2}} \rightarrow 1} \mathcal{Z}_{\text{tw}}^A \right] (\xi) &= \sum_{\alpha} \mathcal{X}_{\alpha}^C(q, x, \xi) \mathcal{X}_{\alpha}^C(q^{-1}, x, \xi), \\ \left[\lim_{t^{\frac{1}{2}} \rightarrow 1} \mathcal{Z}_{\text{tw}}^B \right] (x) &= \sum_{\alpha} \mathcal{X}_{\alpha}^H(q, x, \xi) \mathcal{X}_{\alpha}^H(q^{-1}, x, \xi).\end{aligned}\tag{4.24}$$

This gives clean formulae for the Hilbert series of 3d $\mathcal{N} = 4$ chiral rings in terms of Verma modules constructed out of boundary operators, with a different gluing to the corresponding expressions for the limits of the superconformal index (4.7). Again, the gluing is such that, for example in the A -twist, the x and q dependence (which is solely in the classical piece of the \mathcal{A}_C Verma characters) cancels.

4.3.1 Example: hypermultiplet

We briefly consider the twisted indices of a free hypermultiplet. In the absence of background flux for the flavour symmetry, the B -twisted index is

$$\mathcal{Z}_{\text{tw}}^B = \frac{t^{\frac{1}{2}}}{(1 - xt^{\frac{1}{2}})(1 - x^{-1}t^{\frac{1}{2}})} = -\left\| \mathcal{Z}_{\mathcal{B}_X}^B \right\|_{\text{tw}}^2\tag{4.25}$$

where

$$\mathcal{Z}_{\mathcal{B}_X}^B = e^{\frac{1}{\log q} \log x \log(q^{\frac{1}{2}} t^{-\frac{1}{2}})} \frac{(qt^{-\frac{1}{2}} x; q)_{\infty}}{(t^{\frac{1}{2}} x; q)_{\infty}},\tag{4.26}$$

using the same analytic continuation of the q -Pochhammer as for the superconformal index.

The $t^{\frac{1}{2}} \rightarrow 1$ limit preserving additional supersymmetry is

$$\lim_{t^{\frac{1}{2}} \rightarrow 1} \mathcal{Z}_{\text{tw}}^B = -\mathcal{X}_{\mathcal{B}_X}^H(x) \mathcal{X}_{\mathcal{B}_X}^H(x) = -\frac{x}{(1-x)^2}, \quad (4.27)$$

which coincides with the equivariant Hilbert series of the free hypermultiplet. The A -twisted index is 1 and is reproduced by the factorisation $\|Z_{\mathcal{B}_X}^A\|_{\text{tw}}^2 = 1$. The $t^{\frac{1}{2}} \rightarrow 1$ limit is therefore trivial and compatible with the absence of a Coulomb branch.

4.3.2 Example: SQED

We now demonstrate this factorisation explicitly in supersymmetric QED in the absence of background fluxes for global symmetries.⁵ The twisted indices can be expressed as the following contour integrals

$$\begin{aligned} \mathcal{Z}_{\text{tw}}^A &= -\frac{1}{(t^{\frac{1}{2}} - t^{-\frac{1}{2}})} \sum_{m \in \mathbb{Z}} ((-1)^N \xi)^m \int_{\Gamma_A} \frac{dz}{2\pi i z} z^{Nm} \prod_{j=1}^N \frac{(q^{\frac{1-m}{2}} t^{\frac{1}{2}} z^{-1} x_j^{-1}, q)_m}{(q^{\frac{1-m}{2}} t^{\frac{1}{2}} z x_j, q)_m} \\ \mathcal{Z}_{\text{tw}}^B &= -(t^{\frac{1}{2}} - t^{-\frac{1}{2}}) \sum_{m \in \mathbb{Z}} ((-1)^N \xi)^m \int_{\Gamma_B} \frac{dz}{2\pi i z} z^{Nm} t^N \prod_{j=1}^N \frac{(q^{1-\frac{m}{2}} t^{\frac{1}{2}} z^{-1} x_j^{-1}, q)_{m-1}}{(q^{-\frac{m}{2}} t^{\frac{1}{2}} z x_j, q)_{m+1}} \end{aligned} \quad (4.28)$$

where the contour Γ_A surrounds the poles at $z = x_j^{-1} t^{-\frac{1}{2}} q^{\frac{1-m}{2}+k}$ for $k = 0, \dots, m-1$, $j = 1, \dots, N$ and Γ_B surrounds the poles at $z = x_j^{-1} t^{-\frac{1}{2}} q^{-\frac{m}{2}+k}$ for $k = 0, \dots, m$, $j = 1, \dots, N$.

We now demonstrate the factorisation of these twisted indices according to (4.20). The B -twisted index factorises naturally when evaluated on the aforementioned poles [6] as:

$$\begin{aligned} \mathcal{Z}_{\text{tw}}^B &= (-1)^N \sum_{i=1}^N t^{\frac{1}{2}(N-1)} x_i^N \left\| \left[\prod_{j \neq i}^N \frac{(q^{\frac{x_i}{x_j}}; q)_{\infty}}{(t^{\frac{x_i}{x_j}}; q)_{\infty}} \right] \sum_{m \geq 0} \left(\left(\frac{q}{t} \right)^{N/2} \xi \right)^m \left[\prod_{j=1}^N \frac{(t^{\frac{x_i}{x_j}}; q)_m}{(q^{\frac{x_i}{x_j}}; q)_m} \right] \right\|_{\text{tw}}^2 \\ &= (-1)^N \sum_{i=1}^N t^{\frac{1}{2}(2i-N-1)} \left(\prod_{j \leq i} \frac{x_i}{x_j} \right) \left(\prod_{j > i} \frac{x_j}{x_i} \right) \left\| \mathcal{Z}_i^{B,1\text{-loop}} \mathcal{Z}_i^{B,\text{Vortex}}(q, t, x_i, \xi) \right\|_{\text{tw}}^2 \\ &= (-1)^N \left\| \mathcal{Z}_{\mathcal{B}_i}^B(q, t, x, \xi) \right\|_{\text{tw}}^2. \end{aligned} \quad (4.29)$$

where for instance $\mathcal{Z}_i^{1\text{-loop}}(q, t, x, \xi) = \mathcal{Z}_i^{B,1\text{-loop}}(q, tq^{\frac{1}{2}}, x, \zeta)$. The twisted index as written in the first equality in (4.29) is partially factorised in terms of vortex partition functions or holomorphic blocks. In passing from the first to the second line, the 1-loop piece has been re-organised as in (4.13) (but with the shift of t) and we have used the following identity

$$\theta_q(aq^{m/2}; q) \theta_q(aq^{-m/2}, q^{-1}) = (-1)^{m-1} a^{1-m} \quad (4.30)$$

to fuse the theta functions. In passing to the last line, the remaining monomial is identified with the $\|\mathcal{Z}_i^{B,\text{Cl}}\|_{\text{tw}}^2$. We thus produce a full factorisation (up to an overall sign) in

⁵Non-trivial background fluxes for global symmetries can be incorporated easily and factorisation is in terms of the same hemisphere partition functions but with q -shifts of the fugacities by the appropriate fluxes [6].

terms of hemisphere partition functions \mathcal{B}_i associated to vacua in a fixed chamber for the mass parameters.

Similarly, the A -twisted index can be fully factorised:

$$\begin{aligned} \mathcal{Z}_{\text{tw}}^A &= \sum_{i=1}^N t^{\frac{1}{2}(-N+1)} \xi \left[\prod_{j \neq i}^N \frac{\left(q \frac{x_i}{x_j}; q\right)_{-1}}{\left(tq \frac{x_i}{x_j}; q\right)_{-1}} \right] \left\| \sum_{m \geq 0} \left(t^{-N/2} \xi\right)^m \left[\prod_{j=1}^N \frac{\left(tq \frac{x_i}{x_j}; q\right)_m}{\left(q \frac{x_i}{x_j}; q\right)_m} \right] \right\|_{\text{tw}}^2 \\ &= \left\| \mathcal{Z}_{\mathcal{B}_i}^A(q, t, x, \xi) \right\|_{\text{tw}}^2. \end{aligned} \quad (4.31)$$

In the $t^{\frac{1}{2}} \rightarrow 1$ limit with enhanced supersymmetry, the twisted indices become

$$\begin{aligned} \lim_{t^{\frac{1}{2}} \rightarrow 1} \mathcal{Z}_{\text{tw}}^B &= (-1)^N \sum_{i=1}^N \mathcal{X}_i^H(q, x, \xi) \mathcal{X}_i^H(q^{-1}, x, \xi) \\ &= (-1)^N \sum_{i=1}^N \prod_{j \neq i} \frac{x_j/x_i}{(1 - x_j/x_i)^2} \\ \lim_{t^{\frac{1}{2}} \rightarrow 1} \mathcal{Z}_{\text{tw}}^A &= \sum_{i=1}^N \mathcal{X}_i^C(q, x, \xi) \mathcal{X}_i^C(q^{-1}, x, \xi), \\ &= \frac{N\xi}{(1 - \xi)^2}, \end{aligned} \quad (4.32)$$

in agreement with the equivariant Hilbert series and results (4.16) for the A -limit and B -limit of the superconformal index.

4.4 S_b^3 partition function

The final case we consider is the partition function on the squashed sphere or ellipsoid S_b^3 [48]. In reference [1] it was shown that the supersymmetric localisation computation of such partition functions can be factorised into holomorphic blocks. We propose that the sphere partition functions of 3d $\mathcal{N} = 4$ theories, deformed by an axial mass T , admits the following factorisation into hemisphere partition functions

$$\mathcal{Z}_{S_b^3} = \sum_{\alpha} \mathcal{Z}_{\mathcal{B}_{\alpha}}(q, t, x, \xi) \mathcal{Z}_{\mathcal{B}_{\alpha}}(\bar{q}, \bar{t}, \bar{x}, \bar{\xi}) \quad (4.33)$$

up to an overall phase. The parameters are identified by

$$\begin{aligned} q &= e^{-2\pi i b Q}, & t &= e^{2\pi b T}, & x &= e^{-2\pi b m}, & \xi &= e^{-2\pi b \eta}, \\ \bar{q} &= e^{-\frac{2\pi i}{b} Q}, & \bar{t} &= e^{\frac{2\pi T}{b}}, & \bar{x} &= e^{-\frac{2\pi m}{b}}, & \bar{\xi} &= e^{-\frac{2\pi \eta}{b}} \end{aligned} \quad (4.34)$$

where $Q := b + \frac{1}{b}$. In writing expressions from S_b^3 in terms of exponentiated parameters, rational powers are defined such that $q^r := e^{-2\pi i r b Q}$ where $r \in \mathbb{Q}$.

We now consider the limit of the axial mass

$$T \rightarrow \pm \frac{i}{2} (b - 1/b) \quad (4.35)$$

preserving additional supersymmetry [43]. The resulting partition function depends on b in a trivial way: this parameter can either be absorbed into masses and FI parameters or sent to 1 to recover the matrix model for the round S^3 partition function as studied in [49, 50]. It has been proposed that the S^3 partition function in this limit can be expressed as a sum over massive vacua α of products of twisted characters of Verma modules of \mathcal{A}_H and \mathcal{A}_C [28]. Explaining this proposal was one of the original motivations for the present work.

Beginning from the general factorised form of the S_b^3 partition function (4.33), we note first that the limit $T \rightarrow \frac{i}{2}(b - 1/b)$ sends

$$t^{\frac{1}{2}} \rightarrow e^{-\pi i} q^{-\frac{1}{4}}, \quad \bar{t}^{\frac{1}{2}} \rightarrow e^{\pi i} \bar{q}^{\frac{1}{4}}. \quad (4.36)$$

It is important to keep track of the minus sign in the exponentials, since this corresponds to a choice of branch in the logarithms appearing in the anomaly contribution to the hemisphere partition function. Taking the limit we find the following expression,

$$\lim_{T \rightarrow \frac{i}{2}(b-1/b)} \mathcal{Z}_{S_b^3} = \sum_{\alpha} \hat{\chi}_{\alpha}^H(q, x, \xi) \hat{\chi}_{\alpha}^C(\bar{q}, \bar{x}, \bar{\xi}). \quad (4.37)$$

Here the twisted characters are defined by

$$\begin{aligned} \hat{\chi}_{\alpha}^H(q, x, \xi) &:= \lim_{t^{\frac{1}{2}} \rightarrow e^{-\pi i} q^{-\frac{1}{4}}} \mathcal{Z}_{\mathcal{B}_{\alpha}}(q, t, x, \xi) = e^{\hat{\phi}_{\mathcal{B}_{\alpha}}^{(B)}} \text{Tr}_{\mathcal{H}_{\mathcal{B}_{\alpha}}^{(B)}}(-1)^{R_V} x^{F_H}, \\ \hat{\chi}_{\alpha}^C(q, x, \xi) &:= \lim_{\bar{t}^{\frac{1}{2}} \rightarrow e^{\pi i} \bar{q}^{\frac{1}{4}}} \mathcal{Z}_{\mathcal{B}_{\alpha}}(q, t, x, \xi) = e^{\hat{\phi}_{\mathcal{B}_{\alpha}}^{(A)}} \text{Tr}_{\mathcal{H}_{\mathcal{B}_{\alpha}}^{(A)}}(-1)^{R_A} \xi^{F_C}. \end{aligned} \quad (4.38)$$

These differ from the characters used previously by a \mathbb{Z}_2 twist by the centre of the Higgs or Coulomb branch R-symmetry. This is implemented in the trace by the additional factors of $(-1)^{R_V}$ and $(-1)^{R_A}$ respectively and in the classical or boundary anomaly contributions, which become

$$\begin{aligned} e^{\hat{\phi}_{\mathcal{B}_{\alpha}}^{(B)}} &= x^{\frac{k_A}{2} + k \cdot \frac{\log \xi}{\log q}} e^{-\frac{\pi i k_V \cdot \log \xi}{\log q}} e^{\frac{\pi i k_A \cdot \log x}{\log q}} e^{-\frac{\pi i \bar{k}}{2}} e^{\frac{\pi^2 \bar{k}}{\log q}}, \\ e^{\hat{\phi}_{\mathcal{B}_{\alpha}}^{(A)}} &= \xi^{\frac{k_V}{2} + k \cdot \frac{\log x}{\log q}} e^{\frac{\pi i k_V \cdot \log \xi}{\log q}} e^{-\frac{\pi i k_A \cdot \log x}{\log q}} e^{-\frac{\pi i \bar{k}}{2}} e^{\frac{\pi^2 \bar{k}}{\log q}}. \end{aligned} \quad (4.39)$$

The anomaly coefficients are those of the boundary condition \mathcal{B}_{α} , but we omit the index α to avoid clutter. Note the presence of additional phases compared to $e^{\phi_{\mathcal{B}_{\alpha}}^{(B)}}$, $e^{\phi_{\mathcal{B}_{\alpha}}^{(A)}}$.

To recover the proposal of [28], we write equation (4.37) in terms of sphere parameters as follows,

$$\begin{aligned} \lim_{T \rightarrow \frac{i}{2}(b-1/b)} \mathcal{Z}_{S_b^3} &= \sum_{\alpha} \left(e^{-\frac{\pi i}{2}} \right)^{\bar{k}} e^{-\pi b k_A \cdot m} e^{-\frac{\pi k_V \cdot \eta}{b}} e^{2\pi i m \cdot k \cdot \log \eta} \\ &\quad \text{Tr}_{\mathcal{H}_{\mathcal{B}_{\alpha}}^{(B)}} \left[(-1)^{R_V} \left(e^{-2\pi b m} \right)^{F_H} \right] \text{Tr}_{\mathcal{H}_{\mathcal{B}_{\alpha}}^{(A)}} \left[(-1)^{R_A} \left(e^{-\frac{2\pi \eta}{b}} \right)^{F_C} \right] \end{aligned} \quad (4.40)$$

after conjugating fugacities and gluing.

Finally, we note that the alternative limit $T \rightarrow -\frac{i}{2}(b - 1/b)$ is obtained simply by exchanging $b \leftrightarrow 1/b$ and thus barred and unbarred fugacities.

4.4.1 Example: hypermultiplet

For a free hypermultiplet the partition function may be factorised using well-known double sine function identities

$$\mathcal{Z}_{S_b^3} = \frac{s_b(-m + T/2 + iQ/4)}{s_b(-m - T/2 - iQ/4)} = \|\mathcal{Z}_{\mathcal{B}_X}\|_{S_b^3}^2. \quad (4.41)$$

Then in the twisted trace limit we have

$$\lim_{T \rightarrow \frac{i}{2}(b-1/b)} \mathcal{Z}_{S_b^3} = \hat{\mathcal{X}}_{\mathcal{B}_X}^H(q, x) \hat{\mathcal{X}}_{\mathcal{B}_X}^C(\bar{q}, \bar{x}) = \frac{1}{2 \cosh \pi b x}, \quad (4.42)$$

where

$$\hat{\mathcal{X}}_{\mathcal{B}_X}^H(q, x) = e^{\frac{\pi i \log x}{\log q}} \frac{x^{\frac{1}{2}}}{1+x}, \quad \hat{\mathcal{X}}_{\mathcal{B}_X}^C(\bar{q}, \bar{x}) = e^{-\frac{\pi i \log \bar{x}}{\log \bar{q}}}. \quad (4.43)$$

Note that the plus sign in the denominator of the twisted Higgs branch character arises from the additional weight $(-1)^{R_V}$ and the fact that the raising operator is the scalar field \hat{X} with $R_V = 1$. The Coulomb branch Verma module is trivial and the Coulomb branch twisted character simply counts the identity operator, whose contribution is a phase due to the \mathbb{Z}_2 twist by the centre of R_A .

4.4.2 Example: SQED

A partially factorised form of the S_b^3 partition function of supersymmetric QED is found by a computation in [1],

$$\begin{aligned} \mathcal{Z}_{S_b^3} &= \frac{1}{s_b(T)} \oint dz e^{2\pi i \eta z} \prod_{i=1}^N \frac{s_b(z - m_i + T/2 + iQ/4)}{s_b(z - m_i - T/2 - iQ/4)} \\ &= \sum_{i=1}^N e^{-2\pi i (\eta + \frac{N}{2}(T+iQ/2))(-m_i + \frac{1}{2}(T-iQ/2) + \frac{\pi i}{2}T^2)} \\ &\quad \left\| \prod_{j \neq i} \frac{(q \frac{x_i}{x_j}; q)_{\infty}}{(t q^{1/2} \frac{x_i}{x_j}; q)_{\infty}} \sum_{m \geq 0} \left(\left(q^{\frac{1}{4}} t^{-\frac{1}{2}} \right)^N \xi \right)^m \prod_{j=1}^N \frac{(t q^{\frac{1}{2}} \frac{x_i}{x_j}; q)_m}{(q \frac{x_i}{x_j}, q)_m} \right\|_{S_b^3}^2, \end{aligned} \quad (4.44)$$

where the contour surrounds simple poles of the numerator at

$$z = m_i - T/2 + iQ/4 + imb + in/b, \quad m, n \geq 0, \quad i = 1, \dots, N, \quad (4.45)$$

and in the second line

$$x_j = e^{-2\pi b m_j}, \quad \bar{x}_j = e^{-\frac{2\pi m_j}{b}}. \quad (4.46)$$

Notice this factorisation corresponds to hemisphere partition functions for boundary conditions compatible with mass parameters in different chambers.

In order to bring this expression into the factorised form of (4.33), it is necessary to re-arrange the classical and 1-loop contributions. For the hemisphere partition function $\mathcal{Z}_{\mathcal{B}_i}$ given in section 3.4, we rewrite the 1-loop piece as in (4.13) and use the identity:

$$\theta\left(e^{2\pi b m} q^{\frac{n}{2}}; q\right) \theta\left(e^{\frac{2\pi m}{b}} \bar{q}^{\frac{n}{2}}; \bar{q}\right) = e^{-\frac{\pi i}{12}\left(b^2 + \frac{1}{b^2}\right)} e^{-i\pi\left(m + \frac{i}{2}(1-n)Q\right)^2} \quad (4.47)$$

(see e.g. [51]) to glue the theta functions in (4.13). Then identifying $\sum m_j = 0$, we fuse under $b \leftrightarrow \frac{1}{b}$ to obtain an exact factorisation

$$\mathcal{Z}_{S_b^3} = e^{-\frac{\pi i}{8} Q^2} \sum_{i=1}^N \|\mathcal{Z}_{B_i}(q, t, x, \xi)\|_{S_b^3}^2, \quad (4.48)$$

up to an overall phase which we now drop.

We now take the twisted character limit to find:

$$\lim_{T \rightarrow \frac{i}{2}(b-1/b)} \mathcal{Z}_{S_b^3} = \sum_{i=1}^N \hat{\mathcal{X}}_i^H(q, x, \xi) \hat{\mathcal{X}}_i^C(\bar{q}, \bar{x}, \bar{\xi}), \quad (4.49)$$

where the twisted Verma characters are

$$\begin{aligned} \hat{\mathcal{X}}^H(q, x, \xi) &= e^{\hat{\phi}_i^{(B)}} \prod_{j < i} \frac{1}{1 - \frac{x_i}{x_j}} \prod_{j > i} \frac{1}{1 - \frac{x_j}{x_i}}, \\ \hat{\mathcal{X}}^C(q, x, \xi) &= e^{\hat{\phi}_i^{(A)}} \frac{1}{1 - (-)^N \xi}, \end{aligned} \quad (4.50)$$

and the prefactors $e^{\hat{\phi}_i^{(B)}}$ and $e^{\hat{\phi}_i^{(A)}}$ are given by (4.39) and the anomaly coefficients (3.9). The $(-1)^N$ in the denominator of the twisted character of the Coulomb branch Verma module is because the raising operator is the monopole \hat{v}_- with $R_A = N$. The raising operators in the Higgs branch Verma module are gauge invariant combinations (3.34) with $R_V = 2$, so there is no additional sign in the twisted character. Also note that the result for $N = 1$ is consistent with a hypermultiplet (4.42) under mirror symmetry.

The result of [28] is recovered explicitly by gluing the pre-factors in (4.50). Writing everything in terms of the sphere parameters we have

$$\begin{aligned} \lim_{T \rightarrow \frac{i}{2}(b-1/b)} \mathcal{Z}_{S_b^3} &= \sum_{i=1}^N \left(e^{-\frac{\pi i}{2}} \right)^{2i-N-1} e^{2\pi i m_i \eta} \frac{e^{-\frac{\pi \eta}{b}}}{1 - (-1)^N e^{-\frac{2\pi \eta}{b}}} \\ &\quad \prod_{j < i} \frac{e^{-\pi b(m_i - m_j)}}{1 - e^{-2\pi b(m_i - m_j)}} \prod_{j > i} \frac{e^{-\pi b(m_j - m_i)}}{1 - e^{-2\pi b(m_j - m_i)}}. \end{aligned} \quad (4.51)$$

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A Hemisphere partition functions

In this appendix we discuss the formulation of 3d $\mathcal{N} = 2$ theories on $S^1 \times H^2$, where H^2 is a hemisphere with a $U(1)$ isometry, and the computation of their partition functions. We impose 2d $\mathcal{N} = (0, 2)$ boundary conditions on $S^1 \times \partial H^2 \simeq S^1 \times S^1 = T^2$. We show this coincides with the half superconformal index up to the Casimir energy, which is precisely the equivariant integral of the boundary 't Hooft anomaly. The 3d $\mathcal{N} = 4$ cases of interest with $\mathcal{N} = (2, 2)$ boundary conditions can then be obtained as a specialisation.

The case where the $\mathcal{N} = 2$ vector multiplet is assigned a Neumann boundary condition on T^2 was analysed in [52]. We propose an extension to cover the Dirichlet boundary condition for the vector multiplet, and find the partition function is expressed as a sum over fluxes corresponding to boundary monopole configurations for the vector multiplet. We stick mostly to abelian theories for simplicity, and will return to give a fuller picture of the non-abelian case and localisation in future work.

A.1 Supersymmetry and the index

Rigid supersymmetry on $S^1 \times S^2$ was considered in [40, 41] for the purposes of computing the superconformal index via Coulomb branch localisation. The computation of the superconformal index via Higgs branch localisation was performed in [10, 11]. As the metric on H^2 is identical to the one on S^2 , the same conformal Killing spinors can be used. In this appendix, we follow the conventions of [52]. The metric on the $S^1 \times H^2$ with radius r is:

$$ds^2 = r^2 d\theta^2 + r^2 \sin^2 \theta d\phi^2 + d\tau^2, \quad (\text{A.1})$$

where $0 \leq \theta \leq \pi/2$, $\phi \sim \phi + 2\pi$, $\tau \sim \tau + \beta r$. We also use subscripts $\mu \in \{1, 2, 3\}$ for coordinates $\{\theta, \phi, \tau\}$, and $\{\hat{1}, \hat{2}, \hat{3}\}$ for components in a frame specified by the dreibein

$$e^{\hat{1}} = r d\theta, \quad e^{\hat{2}} = r \sin \theta d\phi, \quad e^{\hat{3}} = d\tau. \quad (\text{A.2})$$

The Killing spinor equations are

$$\nabla_\mu \epsilon = \frac{1}{2r} \gamma_\mu \gamma_3 \epsilon, \quad \nabla_\mu \bar{\epsilon} = -\frac{1}{2r} \gamma_\mu \gamma_3 \bar{\epsilon}, \quad (\text{A.3})$$

and we choose solutions

$$\epsilon_\alpha = e^{\tau/2r} e^{i\varphi/2} \begin{pmatrix} \cos \theta/2 \\ \sin \theta/2 \end{pmatrix}, \quad \bar{\epsilon}_\alpha = e^{-\tau/2r} e^{-i\varphi/2} \begin{pmatrix} \sin \theta/2 \\ \cos \theta/2 \end{pmatrix}. \quad (\text{A.4})$$

The supersymmetry transformations of $\mathcal{N} = 2$ vector and chiral multiplets are given in section 2 of [52]. The transformations generated by $\epsilon, \bar{\epsilon}$ on the boundary T^2 generate a $\mathcal{N} = (0, 2)$ supersymmetry under which boundary conditions for the bulk multiplets must be compatible. We define these in the next subsection. The spinors are not periodic around S^1 , and thus twisted boundary conditions must be imposed. This is precisely compatible with the hemisphere partition function, which is a path integral over fields on $S^1 \times H^2$ with the twisted periodicities dictated by the fugacities:

$$\Phi(\tau + \beta r) = e^{-\beta_1 R} e^{-(\beta_1 - \beta_2) J_3} x^{-F_H} \xi^{-F_C} \Phi(\tau). \quad (\text{A.5})$$

Note the Killing spinors (A.4) obey these conditions, e.g. $\bar{\epsilon}$ has R-charge +1 and $J_3 = -1/2$. From standard arguments, the path integral gives a trace over states on H^2 :

$$\begin{aligned}\mathcal{Z}_{S^1 \times H^2} &= \text{Tr}_{\mathcal{H}(H^2)} \left[(-)^F e^{-\beta_1(D-R-J_3)} e^{-\beta_2(D+J_3)} x^{F_H} \xi^{F_C} \right] \\ &= \text{Tr}_{\mathcal{H}(H^2)} \left[(-)^F q^{J_3+R/2} x^{F_H} \xi^{F_C} \right].\end{aligned}\tag{A.6}$$

which is independent of β_1 . Here $\beta = \beta_1 + \beta_2$, $F = 2J_3$ is the fermion number, $q = e^{-2\beta_2}$ and $F_{H,C}$ the generators of matter/ topological flavour symmetry with fugacities x, ξ respectively. The 1-loop determinants can be computed using these periodicities. Alternatively, noting that (A.5) contains a gauge transformation for flavour and R-symmetries, one can equivalently turn on background flat connections for these symmetries [10]. In either case the twisted periodicity condition corresponding to the angular momentum J_3 is implemented by the coordinate identification (eliminating β_1)

$$(\tau, \varphi) \sim (\tau + \beta r, \varphi - i(\beta - 2\beta_2)).\tag{A.7}$$

To evaluate the classical action properly one needs to take this identification into account. Redefining

$$\tilde{\tau} = \tau, \quad \tilde{\varphi} = \varphi + \frac{i(\beta - 2\beta_2)}{\beta r} \tau \quad \Rightarrow \quad (\tilde{\tau}, \tilde{\varphi}) \sim (\tilde{\tau} + \beta r, \tilde{\varphi}),\tag{A.8}$$

the classical actions can be evaluated by integrating separately over $\tilde{\tau}, \tilde{\varphi}$. To recover the Casimir energy corresponding to the boundary 't Hooft anomaly, necessary for exact holomorphic factorisation, we will see we should set $\beta_2 = \beta$ and the fugacity $q = e^{-2\beta}$ and will do so from here on out.

A.2 Boundary conditions

We specify a set of $\mathcal{N} = (0, 2)$ boundary conditions on T^2 for 3d $\mathcal{N} = 2$ multiplets. These differ from [52] in that they involve a Dirichlet boundary condition for the vector multiplet. We restrict to an abelian gauge group $G = \text{U}(1)^k$ with Lie algebra \mathfrak{g} , for simplicity. We define the complexified covariant derivative $\mathcal{D} = D + \sigma = \nabla + iA + \sigma$ where A and σ act in the appropriate representation.

- For the $\mathcal{N} = 2$ vector multiplet $(A_\mu, \sigma, \lambda, \bar{\lambda}, D)$, the Dirichlet boundary condition at $\theta = \pi/2$ is:

$$\begin{aligned}A_{2,3} &= a_{2,3}, & \lambda_1 + \lambda_2 &= 0, \\ \partial_1 (iF_{12} + F_{13}) &= 0, & \bar{\lambda}_1 + \bar{\lambda}_2 &= 0, \\ D &= 0, & \partial_1 (\lambda_1 - \lambda_2) &= 0, \\ D_1 \sigma &= 0, & \partial_1 (\bar{\lambda}_1 - \bar{\lambda}_2) &= 0.\end{aligned}\tag{A.9}$$

Here $a_{2,3}$ is a constant flat connection on the boundary torus T^2 . This breaks the gauge symmetry to a global symmetry G_∂ at the boundary.

- For $\mathcal{N} = 2$ chiral multiplets $(\phi, \bar{\phi}, \psi, \bar{\psi}, F, \bar{F})$ of R-charge Δ , the Neumann boundary condition is:

$$(N): \quad \begin{aligned} \mathcal{D}_1 \phi &= 0, & F &= 0, \\ \mathcal{D}_1 \bar{\phi} &= 0, & \bar{F} &= 0, \\ \psi_1 + \psi_2 &= 0, & \mathcal{D}_1(\psi_1 - \psi_2) + (\lambda_1 - \lambda_2) \cdot \phi &= 0, \\ \bar{\psi}_1 + \bar{\psi}_2 &= 0, & \mathcal{D}_1(\bar{\psi}_1 - \bar{\psi}_2) - \bar{\phi} \cdot (\bar{\lambda}_1 - \bar{\lambda}_2) &= 0. \end{aligned} \quad (A.10)$$

The basic Dirichlet boundary condition is:

$$(D): \quad \begin{aligned} \phi &= 0, & \mathcal{D}_1 \left(i e^{\frac{\pi}{r}} e^{i\varphi} \mathcal{D}_1 \phi + F \right) + \frac{1}{2} (\lambda_1 - \lambda_2) \cdot (\psi_1 + \psi_2) &= 0, \\ \bar{\phi} &= 0, & \mathcal{D}_1 \left(i e^{-\frac{\pi}{r}} e^{-i\varphi} \mathcal{D}_1 \bar{\phi} + \bar{F} \right) + \frac{1}{2} (\bar{\psi}_1 + \bar{\psi}_2) \cdot (\bar{\lambda}_1 - \bar{\lambda}_2) &= 0, \\ \psi_1 - \psi_2 &= 0, & \mathcal{D}_1(\psi_1 + \psi_2) &= 0, \\ \bar{\psi}_1 - \bar{\psi}_2 &= 0, & \mathcal{D}_1(\bar{\psi}_1 + \bar{\psi}_2) &= 0. \end{aligned} \quad (A.11)$$

These are related to 3d lifts of the boundary conditions in [53–55]. For purposes of application to 3d $\mathcal{N} = 4$ theories with boundary conditions associated to vacua, we would like to turn on non-zero values at the boundary for the scalars ϕ in chiral multiplets which acquire non-zero VEVs in the vacuum, analogously to the operator picture on $\mathbb{R}^2 \times \mathbb{R}_{\geq 0}$. Thus we would like to deform the basic Dirichlet boundary condition for such scalars to

$$(D_c): \quad \phi = c, \quad \bar{\phi} = \bar{c}, \quad c \neq 0, \quad (A.12)$$

keeping the same boundary conditions for the remaining fields in the $\mathcal{N} = 2$ chiral. To preserve supersymmetry in the right column of (A.11) we demand

$$\rho(A_2) = 0, \quad \rho(A_3) - \frac{i\Delta}{r} = 0, \quad (A.13)$$

at $\theta = \pi/2$, where ρ is the gauge group representation of the chiral. If we choose to realise the twisted boundary conditions for flavour symmetries around S^1 as holonomies for background vector multiplets, then the condition becomes:

$$\rho(A_3) + \sum_l \rho_l(A_3^l) - \frac{i\Delta}{r} = 0, \quad (A.14)$$

where ρ_l is the flavour representation. In computing the path integral, a hermiticity condition is imposed on the gauge fields. Thus a constant boundary value for ϕ can be turned on only for chirals of zero R-charge. To turn on a non-zero VEV, the background gauge fields must obey the constraint in (A.14), and thus the boundary condition breaks the combination of flavour symmetries (including G_∂) dual to the charges of the chiral. The result for such chirals with arbitrary R-charges can be obtained by analytically continuing the final partition function by complexifying flavour fugacities [10].

A.3 Localisation

In this section we describe the localisation computation of the hemisphere partition function for general 3d $\mathcal{N} = 2$ theories with Dirichlet boundary conditions for the vector multiplet.

BPS Locus. We may use the same localising actions as in [41, 52] (the SYM and matter actions which are Q-exact), but restrict the saddle points to the ones compatible with the boundary condition. These saddle points coincide with the BPS locus.

- For the $\mathcal{N} = 2$ vector multiplets, the key feature of Dirichlet boundary conditions is that they are compatible with slicing in half a Dirac monopole on S^2 . The saddle points are

$$A = a_3 d\tau + 2\mathfrak{m} B_\alpha dx^\alpha, \quad \sigma = \mathfrak{m}/r, \quad (\text{A.15})$$

where $\alpha = 1, 2$, $\mathfrak{m} \in \text{Hom}(\text{U}(1), G) \simeq \mathbb{Z}^k$ and $a_3 \in \mathfrak{g}$ is a constant. Note the constant value of A_τ is fixed to its boundary value (A.9). Here B_i is the monopole of unit flux on S^2

$$B = \frac{1}{2}\omega, \quad (\text{A.16})$$

where ω is the spin connection on S^2 . The factor of two difference between (A.15) and (22) of [41] comes from the fact that for a U(1) monopole on the hemisphere to have a well defined flux $\frac{1}{2\pi} \int_{H^2} F = \mathfrak{m} \in \mathbb{Z}$, it must have the functional form of a monopole of twice the magnetic charge on S^2 . Explicitly we could write

$$A = a_3 d\tau + \mathfrak{m}(\kappa - \cos\theta)d\phi, \quad \kappa = \begin{cases} 1 & \text{for } \theta \in [0, \pi/2 - \epsilon), \\ 0 & \text{for } \theta \in (\pi/2 - 2\epsilon, \pi/2]. \end{cases} \quad (\text{A.17})$$

This is trivialised at the boundary and thus compatible with (A.9). Thus in the path integral we sum over monopole sectors \mathfrak{m} , mirroring the half index computation [29].

- For an $\mathcal{N} = 2$ chiral multiplet with $\Delta \neq 0$ the BPS locus sets all components of a chiral multiplet to 0. For $\Delta = 0$, the scalar is set everywhere to the constant value it takes at the boundary (A.12), see [10] for details.

As usual in localisation, we set:

$$\Phi = \Phi^{(0)} + \frac{\Phi'}{\sqrt{\delta}}, \quad (\text{A.18})$$

where $\Phi^{(0)}$ are BPS configurations and Φ' fluctuations around the locus. Then:

$$\begin{aligned} \mathcal{Z}_{S^1 \times H^2} &= \lim_{\delta \rightarrow \infty} \int \mathcal{D}\Phi e^{-S[\Phi] - \delta Q \cdot V[\Phi]} \\ &= \sum_{\mathfrak{m} \in \mathbb{Z}^k} e^{-S_{\text{cl}}[\Phi^{(0)}]} Z_{1\text{-loop}}(q, z, x, \xi, \mathfrak{m}), \end{aligned} \quad (\text{A.19})$$

where Φ denotes the set of all fields, and $Q \cdot V[\Phi]$ are the localising actions given in [41, 52], and are just the 3d $\mathcal{N} = 2$ Yang-Mills and matter actions. The path integral is over all configurations obeying boundary conditions in section A.1, and twisted periodicities defined by the trace (A.5). Here $z = e^{-i\beta r a_3}$ is the fugacity for the gauge symmetry which is broken to a flavour symmetry by the boundary condition. S_{cl} is the action evaluated on the BPS locus. We now describe each ingredient in turn.

Classical Contribution. To implement a grading by the topological symmetry, we turn on a BPS configuration for a background vector multiplet

$$A^{(T)} = \eta d\tau, \quad \sigma^{(T)} = D^{(T)} = \lambda^{(T)} = \bar{\lambda}^{(T)} = 0, \quad (\text{A.20})$$

in the mixed bulk-boundary Chern-Simons term for an abelian gauge group (for a non-abelian gauge group, the topological symmetry just couples to the centre)

$$\begin{aligned} S_{\text{mCS}} = & \frac{i}{4\pi} \int_{S^1 \times H^2} d^3x \left[\epsilon^{\mu\nu\rho} \left(\partial_\mu A_\nu A_\rho^{(T)} + \partial_\mu A_\nu^{(T)} A_\rho \right) \right. \\ & \left. + \sqrt{g} \left(-\bar{\lambda}^{(T)} \lambda - \bar{\lambda} \lambda^{(T)} + 2\sigma^{(T)} D + 2\sigma D^{(T)} \right) \right] \\ & - \frac{1}{4\pi} \int_{T^2} d^2x \sqrt{g^{(2)}} \left[A_2 A_2^{(T)} + A_3 A_3^{(T)} - 2\sigma \sigma^{(T)} \right]. \end{aligned} \quad (\text{A.21})$$

The boundary terms involving A and σ are required for invariance under infinitesimal gauge/flavour transformations, and supersymmetry respectively. The evaluation of the term in the first line has the usual subtlety. Using coordinates $\tilde{\tau}, \tilde{\varphi}$ in (A.8), we write e.g.

$$A = a_3 d\tilde{\tau} - \mathfrak{m} \cos \theta \left(d\tilde{\varphi} + \frac{i}{r} d\tilde{\tau} \right), \quad (\text{A.22})$$

and extend to connections on a 4-manifold $D^2 \times H^2$ where the S^1 factor is the boundary of a flat disk D^2 with $\rho \in [0, 1]$:

$$\hat{A} = a_3 \rho^2 d\tilde{\tau} - \mathfrak{m} \cos \theta \left(d\tilde{\varphi} + \frac{i}{r} \rho^2 d\tilde{\tau} \right), \quad \hat{A}^{(T)} = \eta \rho^2 d\tilde{\tau}. \quad (\text{A.23})$$

The action is defined to be the evaluation on the extension over $D^2 \times H^2$

$$\frac{i}{4\pi} \int_{S^1 \times H^2} A^{(T)} \wedge F + A \wedge F^{(T)} \quad \equiv \quad \frac{i}{2\pi} \int_{D^2 \times H^2} \hat{F}^{(T)} \wedge \hat{F} = \mathfrak{m}(i\beta r \eta). \quad (\text{A.24})$$

Including the boundary contribution from (A.21):

$$e^{S_{\text{mCS}}}|_{\text{BPS}} = e^{-\frac{\log(\xi) \log(zq^{\mathfrak{m}})}{\log q}}, \quad (\text{A.25})$$

where we defined

$$z = e^{-i\beta r a_3}, \quad \xi = e^{-i\beta r \eta}, \quad (\text{A.26})$$

as the fugacities for the G_∂ and topological symmetries which we use throughout. We have set $\beta_2 = \beta$, so that when this is combined with the anomalous contributions of the vector and chiral multiplets the prefactor reproduces the anomaly polynomial, as we shall see in section A.5. The contribution of a (diagonal) Chern-Simons term at level k can be obtained by dropping the (T) superscript and multiplying by $k/2$.

1-loop Determinants. Here we give the 1-loop determinants, with the proof for the chiral multiplet with Dirichlet boundary conditions in the next subsection. The results are stated for a general gauge group.

- *The $\mathcal{N} = 2$ chiral in Neumann (N).* For an $\mathcal{N} = 2$ chiral in representation ρ of the gauge group, ρ_f of the flavour group and R -charge Δ

$$Z_{1\text{-loop}}^{(N)} = e^{\mathcal{E}\left[-\log\left(q^{\frac{\Delta}{2}+\rho(\mathfrak{m})}z^\rho x^{\rho_f}\right)\right]} \left(q^{\frac{\Delta}{2}+\rho(\mathfrak{m})}z^\rho x^{\rho_f}; q\right)_\infty^{-1} \quad (\text{A.27})$$

where the function

$$\mathcal{E}[x] = \frac{\beta_2}{12} - \frac{x}{4} + \frac{x^2}{8\beta_2} \quad (\text{A.28})$$

arises from a zeta regularisation as in [52]. The factor of two difference in the way the monopole charge enters compared to the $S^1 \times S^2$ index is due to monopoles on the H^2 having the same functional form as monopoles on S^2 with twice the flux.

- *The $\mathcal{N} = 2$ chiral in Dirichlet (D).* Similarly to above we obtain

$$Z_{1\text{-loop}}^{(D)} = e^{-\mathcal{E}\left[-\log\left(q^{1-\frac{\Delta}{2}-\rho(\mathfrak{m})}z^{-\rho}x^{-\rho_f}\right)\right]} \left(q^{1-\frac{\Delta}{2}-\rho(\mathfrak{m})}z^{-\rho}x^{-\rho_f}; q\right)_\infty. \quad (\text{A.29})$$

- *The $\mathcal{N} = 2$ vector multiplet in Dirichlet.* Note this is also the contribution of a Neumann chiral in the adjoint, with charge R -charge 2.

$$Z_{1\text{-loop}}^{\text{vector}} = \left[e^{\mathcal{E}[-\log(q)]} (q; q)_\infty^{-1}\right]^{\text{rk}G} \prod_{\alpha} e^{\mathcal{E}[-\log(q^{1+\alpha(\mathfrak{m})}z^\alpha)]} \left(q^{1+\alpha(\mathfrak{m})}z^\alpha; q\right)_\infty^{-1}. \quad (\text{A.30})$$

To compute the partition function with some chirals with a deformed Dirichlet boundary condition, the procedure can be described as computing with Dirichlet boundary conditions and then setting to 1 the product of fugacities dual to the charges of the chiral, as in (A.14). This is analogous to the half-index computation for these boundary conditions [29].

A.4 Details: chiral multiplet with Dirichlet B.C.

In this section we derive the 1-loop determinant of the chiral multiplet with a basic Dirichlet boundary condition about the saddle points (A.15). Contrary to [52], we do not expand in terms of monopole spherical harmonics as they do not form a complete eigenbasis on H^2 for the differential operators in the Gaussian integrals in the presence of a monopole — we do not require regularity at the ‘south pole’. Instead the determinant is derived by matching bosonic and fermionic eigenmodes, similarly to the 2d result in [56]. We abuse notation and also denote the fluctuating parts of the scalar and fermion as (ϕ, ψ) . The differential operators appearing at quadratic order are, after substituting the BPS locus (A.15):

$$\begin{aligned} D_{\text{scalar}} &= \tilde{D}_{\text{scalar}} + \left[-D^3 D_3 + \frac{\mathfrak{m}^2}{r^2} + \frac{1-2\Delta}{r} D_3 + \frac{\Delta(1-\Delta)}{r^2} \right], \\ D_{\text{fermion}} &= \tilde{D}_{\text{fermion}} + \left[D_3 - \frac{1-2\Delta}{2r} \right]. \end{aligned} \quad (\text{A.31})$$

We have multiplied the fermionic operator appearing in the action by γ_3 due to the spinor product $\epsilon \cdot \psi = \epsilon_2 \psi_1 - \epsilon_1 \psi_2$, and defined

$$\tilde{D}_{\text{scalar}} = -D^i D_i, \quad \tilde{D}_{\text{fermion}} = \gamma^3 \gamma^i D_i - \frac{\mathbf{m}}{r} \gamma^3, \quad (\text{A.32})$$

for $i, j = 1, 2$, and \mathbf{m} acting implicitly in the appropriate representation. All covariant derivatives are with respect to the background (A.15), for example on spinors:

$$D_\mu = \partial_\mu + \frac{1}{2} i w_\mu \sigma_3 + i \mathbf{m} w_\mu, \quad (\text{A.33})$$

where $w_\mu = (0, -\cos \theta, 0)$ is the spin connection. The 1-loop determinant will be given by

$$Z_{1\text{-loop}}^{(D)} = \frac{\det D_{\text{fermion}}}{\det D_{\text{scalar}}} \quad (\text{A.34})$$

after a suitable regularisation. The boundary condition $\phi|_{\theta=\frac{\pi}{2}} = \psi_1 - \psi_2|_{\theta=\frac{\pi}{2}} = 0$ is imposed on the fluctuating modes. As expected, there are large cancellations between bosonic and fermionic eigenmodes.

We work in the setting where the twisted periodicities in (A.5) due to the flavour symmetries are cancelled by turning on holonomies for their background vector multiplets but retain twisted periodicities due to the R-symmetry and angular momentum. We therefore have $D_3 = \nabla_3 + i a_3 + i \sum_l a_3^l$, where a_3^l are flat connection(s) for flavour symmetry. This operator commutes with $\tilde{D}_{\text{scalar}}, \tilde{D}_{\text{fermion}}$ in (A.31), and so we diagonalise them simultaneously. For a field of R-charge R , we expand in terms of fields:

$$\mathcal{O}_{n,m}(\theta, \varphi, \tau) = e^{\frac{\tau}{\beta r} (2\pi i n - (R+m)\beta_1 + m\beta_2)} \mathcal{O}_m(\theta, \varphi), \quad (\text{A.35})$$

where

$$J_3 \mathcal{O}_m = (-i \partial_\varphi + \kappa \mathbf{m}) \mathcal{O}_m = m \mathcal{O}_m. \quad (\text{A.36})$$

Then D_3 acts as:

$$\beta r D_3 \mathcal{O}_{n,m} = \left[2\pi i n - (R+m)\beta_1 + m\beta_2 + i\beta r \rho(a_3) + i\beta r \rho_l(a_3^l) \right] \mathcal{O}_{n,m}. \quad (\text{A.37})$$

Paired Eigenmodes. We now exhibit the pairing of fermionic and bosonic eigenmodes. If ψ is a fermionic eigenmode obeying the boundary condition $\psi_1 - \psi_2|_{\theta=\frac{\pi}{2}} = 0$ and satisfying

$$\tilde{D}_{\text{fermion}} \psi = \left(\gamma^3 \gamma^i D_i - \frac{\mathbf{m}}{r} \gamma^3 \right) \psi = \nu \psi, \quad (\text{A.38})$$

then we can construct

$$\phi' = \bar{\epsilon} \psi \quad (\text{A.39})$$

which obeys

$$\tilde{D}_{\text{scalar}} \phi' = -g_{(2)}^{ij} D_i D_j \phi' = \left(\nu(\nu+1) - \frac{\mathbf{m}^2}{r^2} \right) \phi'. \quad (\text{A.40})$$

Similarly, for a scalar eigenmode ϕ such that

$$\tilde{D}_{\text{scalar}} \phi = M^2 \phi, \quad (\text{A.41})$$

one can construct two spinor eigenmodes

$$\psi^{(1,2)} = \gamma^i \epsilon D_i \phi + \frac{\mathfrak{m}}{r} \epsilon \phi - \nu \gamma^3 \epsilon \phi, \quad (\text{A.42})$$

where ν is a solution to $\nu(\nu+1) - \frac{\mathfrak{m}^2}{r^2} = M^2$, i.e. if ν is a solution so is $-\nu-1$. It is easy to check that eigenvalues of ϕ and the pair $\psi^{(1,2)}$ cancel in the determinant, noting that the Killings spinors (A.4) satisfy

$$\beta r D_3 \epsilon = \frac{\beta}{2} \epsilon, \quad \beta r D_3 \bar{\epsilon} = -\frac{\beta}{2} \bar{\epsilon}. \quad (\text{A.43})$$

Also $\psi^{(1,2)}$ and ϕ' obey the appropriate boundary condition.

Unpaired Eigenmodes. The non-cancelling contributions to the 1-loop determinant are the ones which do not participate in the pairing above, that is when (A.39) or (A.42) are undefined. An unpaired scalar eigenmode is a ϕ such that:

$$\gamma^i \epsilon D_i \phi + \frac{\mathfrak{m}}{r} \epsilon \phi - \nu \gamma^3 \epsilon \phi = 0. \quad (\text{A.44})$$

Contracting with $\bar{\epsilon}$ gives

$$\partial_\varphi \phi + i(\kappa \mathfrak{m} - r\nu) \phi = 0. \quad (\text{A.45})$$

Using the ansatz $\phi = f(\theta) e^{-i(\kappa \mathfrak{m} - r\nu)\varphi}$ (suppressing τ dependence for now) and contracting (A.44) with $\bar{\epsilon} \gamma_3$ we obtain:

$$\sin \theta \partial_\theta f + \mathfrak{m} f - r\nu \cos \theta f = 0. \quad (\text{A.46})$$

There are no non-trivial solutions obeying the boundary condition and thus no unpaired scalar eigenmodes.⁶

We now look for unpaired spinor eigenmodes ψ . If $\bar{\epsilon} \psi = 0$ then we may write $\psi = \bar{\epsilon} \Phi$ where Φ is a scalar of R-charge $\Delta - 2$ (so that ψ has R-charge $\Delta - 1$). Using the Killing spinor equations

$$\begin{aligned} \left(\gamma^3 \gamma^i D_i - \frac{\mathfrak{m}}{r} \gamma^3 \right) (\bar{\epsilon} \Phi) &= \nu \psi, \\ \Rightarrow \gamma^3 \gamma^i \bar{\epsilon} D_i \Phi &= \left(\nu + \frac{1}{r} \right) (\bar{\epsilon} \Phi) + \frac{\mathfrak{m}}{r} \gamma^3 \bar{\epsilon} \Phi. \end{aligned} \quad (\text{A.47})$$

Contracting with ϵ and $\epsilon \gamma^3$ gives

$$\begin{aligned} \sin \theta \partial_\theta \Phi &= -(r\nu + 1) \cos \theta + \mathfrak{m} \Phi, \\ (\partial_\varphi + i\mathfrak{m}(\kappa - \cos \theta)) \Phi &= i(r\nu + 1) \Phi - i\mathfrak{m} \cos \theta. \end{aligned} \quad (\text{A.48})$$

Using the ansatz $\Phi = f(\theta) e^{i(r\nu+1-\kappa \mathfrak{m})\varphi}$, we find solutions:

$$\Phi = \sin(\theta/2)^\mathfrak{m} \cos(\theta/2)^{-\mathfrak{m}} (\sin \theta)^j e^{i(-j-\kappa \mathfrak{m})\varphi} e^{\frac{\tau}{\beta r} (2\pi i n - (\Delta-2-j)\beta_1 - j\beta_2)}, \quad (\text{A.49})$$

⁶Note that this means that if we have an eigenmode ψ with eigenvalue ν which is paired, we may always construct the eigenmode $\tilde{\psi}$ with eigenmode $-\nu-1$ by using first the map (A.39) to construct ϕ' , and then (A.42) to construct ψ_1 proportional to ψ , and $\psi_2 := \tilde{\psi}$.

where $j = -r\nu - 1$ is an integer such that $j + \mathfrak{m} \geq 0$. The last requirement is for regularity at $\theta = 0$. This is less restrictive than also requiring regularity at $\theta = \pi$ as for the $S^1 \times S^2$ index, which would require $j \geq |\mathfrak{m}|$. The τ -dependent exponential ensures the twisted periodicity condition (A.5). The unpaired fermionic eigenmodes are thus

$$\psi = e^{\frac{\tau}{\beta r}(2\pi i n - ((\Delta-1)-(j+1/2))\beta_1 - (j+1/2)\beta_2)} e^{i(-j-1/2-\kappa\mathfrak{m})\varphi} \times \sin(\theta/2)^{\mathfrak{m}} \cos(\theta/2)^{-\mathfrak{m}} (\sin \theta)^j \begin{pmatrix} \sin(\theta/2) \\ \cos(\theta/2) \end{pmatrix}. \quad (\text{A.50})$$

The 1-loop Determinant. We now have all the ingredients needed to write down the 1-loop determinant for the $N = 2$ chiral multiplet with Dirichlet boundary condition.

$$\begin{aligned} Z_{1\text{-loop}}^{(D)} &= \prod_{n \in \mathbb{Z}} \prod_{j \geq -\rho(\mathfrak{m})} \left[\beta r \left(\frac{1-2\Delta}{2r} + \frac{j+1}{r} \right) - \beta r D_3 \right] \\ &= \prod_{n \in \mathbb{Z}} \prod_{j \geq 0} \left[-2\pi i n - i\beta r \rho(a_3) + (2j+2-\Delta-2\rho(\mathfrak{m}))\beta_2 - i\beta r \rho_l(a_3^l) \right] \\ &= e^{-\mathcal{E}[-i\beta r \rho(a_3) - i\beta r \rho_l(a_3^l) + (2-\Delta-2\rho(\mathfrak{m}))\beta_2]} \left(e^{i\beta r \rho(a_3) + i\beta r \rho_l(a_3^l)} q^{1-\Delta/2-\rho(\mathfrak{m})}; q \right)_{\infty}. \end{aligned} \quad (\text{A.51})$$

The final line has been zeta function regularised as in [52].

A.5 Regularisation and anomaly polynomials

We now show that the results in section A.3 for the $S^1 \times H^2$ partition function reproduces the formula (3.31) in [29] for the half-index \mathcal{I} counting local operators inserted at the origin of $\mathbb{R}^2 \times \mathbb{R}_{\geq 0}$, up to a prefactor encoding boundary 't Hooft anomalies:

$$\mathcal{Z} = e^{\phi} \mathcal{I}. \quad (\text{A.52})$$

Here ϕ is the Casimir energy, and is consistent with the results of [57]. This result holds for an $\mathcal{N} = 2$ SCFT, with the $\mathcal{N} = 4$ results of section 2 following in an obvious way. We also stick to an abelian gauge group, the non-abelian generalisation can be found by ensuring consistency with the maximal torus of the gauge group. The q-Pochhammer contributions clearly match, so we need only consider the classical contribution and the \mathcal{E} functions. Examining each in turn:

- The coupling to the topological symmetry gives

$$e^{-\frac{2 \log \xi \log z q^{\mathfrak{m}}}{2 \log q}}. \quad (\text{A.53})$$

Rewriting the term in the exponential as:

$$\frac{1}{2 \log q} \left(\log z q^{\mathfrak{m}} \log \xi \right) \begin{pmatrix} 0 & -1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} \log z q^{\mathfrak{m}} \\ \log \xi \end{pmatrix} \quad (\text{A.54})$$

This is the same bilinear form encoding the mixed boundary 't Hooft anomaly between the boundary gauge symmetry and the topological symmetry in the anomaly polynomial contribution

$$-2\mathbf{ff}_{\xi}, \quad (\text{A.55})$$

where $\mathbf{f}, \mathbf{f}_\xi$ are field strengths for the corresponding symmetries. Isolating the \mathbf{m} dependence in (A.54) recovers $\xi^{-\mathbf{m}}$ which appears in the half index formulae of [29]. The \mathbf{m} independent part contributes to ϕ with $-\log \xi \log z / \log q$.

- For a chiral with (N) boundary conditions, transforming with charge ρ under an abelian gauge group and R-charge Δ , the anomalous contribution is

$$e^{\mathcal{E} \left[-\log \left(q^{\frac{\Delta}{2} + \rho(\mathbf{m})} z^\rho \right) \right]} = C e^{\frac{1}{2 \log q} \left[-\frac{1}{2} \left(\rho \log z q^{\mathbf{m}} + (\Delta - 1) \log q^{\frac{1}{2}} \right)^2 \right]}, \quad (\text{A.56})$$

where $C := e^{-\frac{\beta}{24}}$. Up to this constant, this matches the bilinear form encoding the contribution of the chiral to the boundary 't Hooft anomaly polynomial

$$-\frac{1}{2} (\rho \mathbf{f} + (\Delta - 1) \mathbf{r})^2 \quad (\text{A.57})$$

after replacing $\log z \rightarrow \mathbf{f}$ and $\log q^{\frac{1}{2}} \rightarrow \mathbf{r}$. Here \mathbf{r} is the field strength of the R-symmetry. Again the \mathbf{m} dependence matches the half index formula, and we obtain an overall contribution to the prefactor ϕ of:

$$\frac{1}{2 \log q} \left[-\frac{1}{2} \left(\rho \log z + (\Delta - 1) \log q^{\frac{1}{2}} \right)^2 \right]. \quad (\text{A.58})$$

- Similarly for a chiral with (D) boundary conditions, we have:

$$e^{-\mathcal{E} \left[-\log \left(q^{1 - \frac{\Delta}{2} - \rho(\mathbf{m})} z^{-\rho} \right) \right]} = C^{-1} e^{\frac{1}{2 \log q} \left[\frac{1}{2} \left(\rho \log z q^{\mathbf{m}} + (\Delta - 1) \log q^{\frac{1}{2}} \right)^2 \right]}. \quad (\text{A.59})$$

which matches the contribution to the boundary 't Hooft anomaly polynomial

$$\frac{1}{2} (\rho \mathbf{f} + (\Delta - 1) \mathbf{r})^2. \quad (\text{A.60})$$

- A $U(1)$ $\mathcal{N} = 2$ vector multiplet contributes

$$e^{\mathcal{E}[-\log q]} = C e^{\frac{1}{2 \log q} \left[-\frac{1}{2} \left(\log q^{\frac{1}{2}} \right)^2 \right]}, \quad (\text{A.61})$$

matching the corresponding boundary 't Hooft anomaly polynomial contribution

$$-\frac{1}{2} \mathbf{r}^2. \quad (\text{A.62})$$

In summary, up to factors of C , we are left with a prefactor ϕ given precisely by:

$$\phi = \frac{1}{2 \log q} \mathcal{P}(\log q^{\frac{1}{2}}, \log z, \log \xi) \quad (\text{A.63})$$

where $\mathcal{P}(\mathbf{r}, \mathbf{f}, \mathbf{f}_\xi)$ is the anomaly polynomial encoding the boundary 't Hooft anomaly, consisting of contributions (A.55), (A.57), (A.57) and (A.62). For a non-abelian theory, it is the equivariant integral of the polynomial [57].

In an $\mathcal{N} = 4$ theory, with $(2, 2)$ boundary condition, the factors C always cancel, and the cancellations of the \mathcal{E} reflect that only the mixed anomalies listed in section 2.2 can occur, thus proving equation (2.21).

B General abelian theories

With the result of section A.5 in hand, we prove the claims in section 2 for a general 3d $\mathcal{N} = 4$ abelian theory. That is, we show that if $\mathcal{P}_{\mathcal{B}_\alpha}(\mathbf{r}, \mathbf{t}, \mathbf{f}_x, \mathbf{f}_\xi)$ is the boundary 't Hooft anomaly polynomial for a boundary condition \mathcal{B}_α , the lowest weights of the corresponding Higgs and Coulomb branch Verma modules are given by:

$$\begin{aligned} \lim_{t^{\frac{1}{2}} \rightarrow q^{-\frac{1}{4}}} \phi_{\mathcal{B}_\alpha} &= \phi_{\mathcal{B}_\alpha}^{(B)} = \lim_{t^{\frac{1}{2}} \rightarrow q^{-\frac{1}{4}}} \frac{1}{2 \log q} \mathcal{P}_{\mathcal{B}_\alpha}(\log q^{\frac{1}{2}}, \log t, \log x, \log \xi) \\ \lim_{t^{\frac{1}{2}} \rightarrow q^{\frac{1}{4}}} \phi_{\mathcal{B}_\alpha} &= \phi_{\mathcal{B}_\alpha}^{(A)} = \lim_{t^{\frac{1}{2}} \rightarrow q^{\frac{1}{4}}} \frac{1}{2 \log q} \mathcal{P}_{\mathcal{B}_\alpha}(\log q^{\frac{1}{2}}, \log t, \log x, \log \xi). \end{aligned} \quad (\text{B.1})$$

Further, the mixed anomaly coefficient k between T_H and T_C , is equal to the central charge κ_α where α is the vacuum for the abelian theory associated to boundary condition \mathcal{B}_α .

We briefly recap exceptional Dirichlet boundary conditions for abelian 3d $\mathcal{N} = 4$ theories. See [18] for more details. Consider a gauge group $G = \text{U}(1)^r$, with N hypermultiplets (X_i, Y_i) . The Higgs and Coulomb branch flavour symmetries are

$$G_H = \text{U}(1)^{N-r} := \text{U}(1)^{r'}, \quad G_C = \text{U}(1)^r. \quad (\text{B.2})$$

We denote by:

$$Q = \{Q_a^i\}_{1 \leq a \leq r}^{1 \leq i \leq N}, \quad q = \{q_\beta^i\}_{1 \leq \beta \leq r'}^{1 \leq i \leq N} \quad (\text{B.3})$$

the matrices of gauge and flavour charges respectively. An exceptional Dirichlet boundary condition is labelled by a subset $S \subset (1, \dots, N)$ such that the charge submatrix $Q^{(S)}$ is non-degenerate and a sign vector ε so that the boundary condition sets

$$\mathcal{B}: \quad \begin{cases} Y_i| = c_i & \varepsilon_i = + \\ X_i| = c_i & \varepsilon_i = - \end{cases} \quad (i \in S), \quad \begin{cases} Y_j| = 0 & \varepsilon_j = + \\ X_j| = 0 & \varepsilon_j = - \end{cases} \quad (j \notin S) \quad (\text{B.4})$$

where the c_i are non-zero. The scalars fixed to non-zero values at the boundary are those with non-zero values on the vacuum ν_α . This boundary condition fully breaks the gauge symmetry and preserves the flavour symmetry at the boundary. It is a thimble boundary condition for a certain chamber of masses and FIs.

Let us define the submatrices and subvectors for later use:

$$\begin{aligned} Q^S &= \{Q_a^i\}_{1 \leq a \leq r}^{i \in S}, & q^S &= \{q_\beta^i\}_{1 \leq \beta \leq N-r}^{i \in S}, & \varepsilon^S &= \{\varepsilon_i\}_{i \in S}, \\ Q' &= \{Q_a^j\}_{1 \leq a \leq r}^{j \notin S}, & q' &= \{q_\beta^j\}_{1 \leq \beta \leq N-r}^{j \notin S}, & \varepsilon' &= \{\varepsilon_j\}_{j \notin S}. \end{aligned} \quad (\text{B.5})$$

Anomaly Polynomial. To compute the anomaly polynomial we can first compute it for the boundary condition with zero values for c_i , and then deform to the anomaly polynomial for \mathcal{B} by setting to 1 the sum of field strengths dual to the charges of the $\mathcal{N} = 2$ chirals labelled by S , whose scalars are set to c_i . We define field strengths $\mathbf{r}, \mathbf{t}, \mathbf{f}_a, \mathbf{f}_{x_\beta}, \mathbf{f}_{\xi_a}$ for $(R_V + R_A)/2, (R_V - R_A)/2, G_\partial, G_H$ and G_C respectively. With $c_i = 0$, the anomaly polynomial receives contributions [29]:

- From the $\mathcal{N} = 4$ vector multiplet:

$$-2\mathbf{f} \cdot \mathbf{f}_\xi + r \left(\frac{1}{2} \mathbf{t}^2 - \frac{1}{2} \mathbf{r}^2 \right) = -2\mathbf{f} \cdot \mathbf{f}_\xi - \frac{r}{2} (\mathbf{r} + \mathbf{t}) (\mathbf{r} - \mathbf{t}) . \quad (\text{B.6})$$

- From the j^{th} $\mathcal{N} = 4$ hypermultiplet:

$$\begin{aligned} & -\varepsilon_j \left[\frac{1}{2} \left(\mathbf{f}_a Q_a^j + \mathbf{f}_{x_\beta} q_\beta^j + \frac{1}{2} \mathbf{t} - \frac{1}{2} \mathbf{r} \right)^2 - \frac{1}{2} \left(-\mathbf{f}_a Q_a^j - \mathbf{f}_{x_\beta} q_\beta^j + \frac{1}{2} \mathbf{t} - \frac{1}{2} \mathbf{r} \right)^2 \right] \\ & = \varepsilon_j (\mathbf{r} - \mathbf{t}) (\mathbf{f}_a Q_a^j + \mathbf{f}_{x_\beta} q_\beta^j) \end{aligned} \quad (\text{B.7})$$

where we sum over a and β implicitly. So from all N hypers:

$$(\mathbf{r} - \mathbf{t}) (\mathbf{f} \cdot \mathbf{Q} \cdot \varepsilon + \mathbf{f}_x \cdot \mathbf{q} \cdot \varepsilon) . \quad (\text{B.8})$$

So the total anomaly polynomial before deformation is

$$\mathcal{P} = -2\mathbf{f} \cdot \mathbf{f}_\xi - \frac{r}{2} (\mathbf{r} + \mathbf{t}) (\mathbf{r} - \mathbf{t}) + (\mathbf{r} - \mathbf{t}) (\mathbf{f} \cdot \mathbf{Q} \cdot \varepsilon + \mathbf{f}_x \cdot \mathbf{q} \cdot \varepsilon) . \quad (\text{B.9})$$

Now deforming to non-zero c , set for each $i \in S$

$$\mathbf{f}_a Q_a^i + \mathbf{f}_{x_\beta} q_\beta^i - \frac{\varepsilon_i}{2} (\mathbf{r} + \mathbf{t}) = 0 , \quad (\text{B.10})$$

or since $Q^{(S)}$ is invertible:

$$\mathbf{f} = -\mathbf{f}_x \cdot \mathbf{q}^S \cdot Q^{S-1} + \frac{1}{2} (\mathbf{r} + \mathbf{t}) \varepsilon^S \cdot Q^{S-1} . \quad (\text{B.11})$$

Substituting into the undeformed \mathcal{P} , we arrive at anomaly polynomial for \mathcal{B}_α

$$\begin{aligned} \mathcal{P}_\mathcal{B} &= 2\mathbf{f}_x \cdot \mathbf{q}^S \cdot Q^{S-1} \cdot \mathbf{f}_\xi - \varepsilon^S \cdot Q^{S-1} \cdot \mathbf{f}_\xi (\mathbf{r} + \mathbf{t}) \\ &+ (\mathbf{r} - \mathbf{t}) \mathbf{f}_x \cdot \left(\mathbf{q}' - \mathbf{q}^S \cdot Q^{S-1} \cdot \mathbf{Q}' \right) \cdot \varepsilon' \\ &+ \frac{1}{2} (\mathbf{r} - \mathbf{t}) (\mathbf{r} + \mathbf{t}) \left(\varepsilon^S \cdot Q^{S-1} \cdot \mathbf{Q} \cdot \varepsilon - r \right) . \end{aligned} \quad (\text{B.12})$$

We may easily read off the coefficients defined in section 2.2 for the various mixed anomalies:

$$\begin{aligned} \tilde{k} &= \varepsilon^S \cdot Q^{S-1} \cdot \mathbf{Q} \cdot \varepsilon - r , \\ k_V &= -\varepsilon^S \cdot Q^{S-1} , \\ k_A &= \left(\mathbf{q}' - \mathbf{q}^S \cdot Q^{S-1} \cdot \mathbf{Q}' \right) \cdot \varepsilon' , \\ k &= \mathbf{q}^S \cdot Q^{S-1} . \end{aligned} \quad (\text{B.13})$$

Central Charges. The central charge κ_α is the bilinear pairing such that:⁷

$$\kappa_\alpha(m_\mathbb{R}, \eta_\mathbb{R}) = \begin{cases} h_m(\nu_\alpha) &= m_\mathbb{R} \cdot \mu_{H,\mathbb{R}}(\nu_\alpha) \\ h_\eta(\nu_\alpha) &= -\eta_\mathbb{R} \cdot \mu_{C,\mathbb{R}}(\nu_\alpha) \end{cases} . \quad (\text{B.14})$$

The bilinear pairing for a general abelian theory is derived in section 7.4.2 of [18]. We briefly recap it here. Define

$$w_j := |X_j|^2 - |Y_j|^2 , \quad W_j := X_j Y_j . \quad (\text{B.15})$$

⁷The minus sign difference in these generators is due to our convention for the FI parameter.

- On \mathcal{M}_H we have $h_m(\nu_\alpha) = m_{\mathbb{R}} \cdot \mu_{H,\mathbb{R}}|_{\nu_\alpha} = m_{\mathbb{R}} \cdot q \cdot w|_{\nu_\alpha}$. Now $w_j = 0$ for all $j \notin S$ at the vacuum. The remaining w_i for $i \in S$ are determined by the real moment map $Q \cdot w = \eta_{\mathbb{R}}$. Then one can see immediately that:

$$h_m(\nu_\alpha) = m_{\mathbb{R}} \cdot q^S \cdot Q^{S-1} \cdot \eta_{\mathbb{R}}, \quad (\text{B.16})$$

so $\kappa_\alpha = q^S(Q^S)^{-1}$ coincides with the value of the anomaly coefficient k in (B.13).

- Considering \mathcal{M}_C yields the same answer. At the vacuum $h_\eta(\nu_\alpha) = -\sigma \cdot \eta_{\mathbb{R}}|_{\nu_\alpha}$. At ν_α the effective real mass of the hypermultiplets must vanish for all $i \in S$: $M^i = \sigma \cdot Q^i + m_{\mathbb{R}} \cdot q^i = 0$. Thus $\sigma|_{\nu_\alpha} = -m_{\mathbb{R}} \cdot q^S(Q^S)^{-1}$ and so $h_\eta(\nu_\alpha) = h_m(\nu_\alpha)$.

Lowest Weights. We show now that the anomaly coefficients (B.13) coincide with the lowest weight characters of the Verma module defined by \mathcal{B}_α as described in section 2.5.

- On the Higgs branch recall that the action of $\hat{W}_j = :\hat{X}_j \hat{X}_j$: for $j \notin S$ is given by

$$\hat{W}_j |\mathcal{B}_\alpha\rangle = \frac{\epsilon}{2} \varepsilon_j |\mathcal{B}_\alpha\rangle. \quad (\text{B.17})$$

For $i \in S$ it is fixed by the relation $Q \cdot \hat{W} = \eta_{\mathbb{C}}$, and on the lowest weight state

$$\begin{aligned} \hat{W}_i |\mathcal{B}_\alpha\rangle &= - \left(Q^{S-1} \cdot Q' \cdot \hat{W}' \right)_i |\mathcal{B}_\alpha\rangle + \left(Q^{S-1} \cdot \eta_{\mathbb{C}} \right)_i |\mathcal{B}_\alpha\rangle \\ &= \left(-\frac{1}{2} \epsilon \left(Q^{S-1} \cdot Q' \cdot \varepsilon' \right)_i + \left(Q^{S-1} \cdot \eta_{\mathbb{C}} \right)_i \right) |\mathcal{B}_\alpha\rangle. \end{aligned} \quad (\text{B.18})$$

The Verma character for \mathcal{A}_H is

$$\text{Tr} \left[e^{-\frac{1}{\epsilon} m_{\mathbb{R}} \cdot q \cdot \hat{W}} \right], \quad (\text{B.19})$$

where we identify fugacities for the flavour symmetry $x_i = e^{-m_{\mathbb{R},i}}$. One can straightforwardly compute the character of the lowest weight state as

$$x^{\frac{1}{2} (q' - q^S \cdot Q^{S-1} \cdot Q') \cdot \varepsilon' + \frac{1}{\epsilon} q^S \cdot Q^{S-1} \cdot \eta_{\mathbb{C}}} \quad (\text{B.20})$$

matching the values of k_A and k in (B.13).

- On the Coulomb branch the vacuum obeys $(\hat{M}_{\mathbb{C}}^i - \frac{1}{2} \varepsilon_i \epsilon) |\mathcal{B}\rangle = 0$ for all $i \in S$ where the (quantised) effective complex masses are given by $\hat{M}_{\mathbb{C}}^i = \hat{\varphi} \cdot Q^i + m_{\mathbb{C}} \cdot q^i$. Thus

$$\hat{\varphi} |\mathcal{B}\rangle = \left(\frac{\epsilon}{2} \varepsilon^S \cdot Q^{S-1} - m_{\mathbb{C}} \cdot q^S \cdot Q^{S-1} \right) |\mathcal{B}\rangle. \quad (\text{B.21})$$

The character of the Coulomb Verma is

$$\text{Tr} \left[e^{\frac{1}{\epsilon} \eta_{\mathbb{R}} \cdot \hat{\varphi}} \right], \quad (\text{B.22})$$

and thus the character of the lowest weight state is

$$\xi^{-\frac{1}{2} \varepsilon^S \cdot Q^{S-1} + \frac{1}{\epsilon} m_{\mathbb{C}} \cdot q^S \cdot Q^{S-1}}, \quad (\text{B.23})$$

matching the values of k_V and k in (B.13).

We conclude that the lowest weights of the Higgs and Coulomb branch algebra modules defined by \mathcal{B} are indeed given by the limits of the prefactor/Casimir energy $\phi_{\mathcal{B}}$, which itself coincides with the anomaly polynomial describing boundary 't Hooft anomalies determined by \mathcal{B} .

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